# EXHIBIT B

## UNITED STATES PATENT AND TRADEMARK OFFICE

## **CERTIFICATE OF CORRECTION**

PATENT NO. : 8,549,339 B2 Page 1 of 1

APPLICATION NO. : 12/713220 DATED : October 1, 2013 INVENTOR(S) : Wolfe et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Title Page

In Item (56), under "OTHER PUBLICATIONS", in Column 2, Line 1, delete "al" and insert -- al., --, therefor.

In Item (56), under "OTHER PUBLICATIONS", in Column 2, Line 8, delete "al" and insert -- al., --, therefor.

In Item (56), under "OTHER PUBLICATIONS", in Column 2, Line 11, delete "al" and insert -- al., --, therefor.

In Item (56), under "OTHER PUBLICATIONS", in Column 2, Line 15, delete "Howlett-Packard" and insert -- Hewlett-Packard --, therefor.

In the Specifications

In Column 5, Line 61, delete "and or" and insert -- and/or --, therefor.

In the Claims

In Column 9, Line 7, in Claim 15, delete "for managing" and insert -- to manage --, therefor.

Signed and Sealed this Sixth Day of May, 2014

Michelle K. Lee

Deputy Director of the United States Patent and Trademark Office

Michelle K. Lee

PTO/SB/44 (09-07)

Approved for use through 08/31/2013. OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 8,549,339 B2

APPLICATION NO.: 12/713,220

ISSUE DATE : October 1, 2013

INVENTOR(S) : Wolfe, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Face Page, in Field (56), under "OTHER PUBLICATIONS", in Column 2, Line 1, delete "al" and insert - - al., --, therefor.

On the Face Page, in Field (56), under "OTHER PUBLICATIONS", in Column 2, Line 8, delete "al" and insert - - al., - -, therefor.

On the Face Page, in Field (56), under "OTHER PUBLICATIONS", in Column 2, Line 11, delete "al" and insert - - al., - -, therefor.

On the Face Page, in Field (56), under "OTHER PUBLICATIONS", in Column 2, Line 15, delete "Howlett-Packard" and insert - - Hewlett-Packard - -, therefor.

In Column 5, Line 61, delete "and or" and insert - - and/or - -, therefor.

In Column 9, Line 7, in Claim 15, delete "for managing" and insert - - to manage - -, therefor.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Maschoff Brennan 1389 Center Drive, Suite 300 Park City, Utah 84098, United States

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Privacy Act Statement

Document 29-4

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

#### IN THE UNITED STATES PATENT & TRADEMARK OFFICE

PATENT NO.: 8,549,339

**USPTO CONFIRMATION CODE: 4243** 

**APPLICATION NO.: 12/713,220** 

FILED: February 26, 2010

EXAMINER: Dennis M. Butler

**GROUP ART UNIT: 2115** 

FOR: PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR

## 37 CFR 1.322 & 37 CFR 1.323 REQUEST FOR CERTIFICATE OF CORRECTION FOR USPTO AND/OR APPLICANT MISTAKE

Commissioner for Patents Office of Patent Publication ATTN: Certificate of Correction Branch P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

A certificate of correction under 35 USC 254 is respectfully requested in the above-identified patent.

It is believed that all errors identified herein were the fault of both the applicant and USPTO and, accordingly, please charge <u>\$100.00</u> to the credit card utilized for this certificate of correction filing. In the event that a further fee is required, please charge the amount to the same credit card.

The exact locations where the errors appear in the patent and patent application are as follows:

On the Face Page, in Field (56), under "OTHER PUBLICATIONS", in Column 2, Line 1, delete "al" and insert - - al., - -, therefor.

(LIST OF REFERENCES CITED BY APPLICANT AND CONSIDERED BY EXAMINER DATED JUNE 11, 2013, SHEET 2 (PAGE 28 OF FW), UNDER "NON-PATENT LITERATURE DOCUMENTS", ENTRY 1, LINE 1)

On the Face Page, in Field (56), under "OTHER PUBLICATIONS", in Column 2, Line 8, delete "al" and insert - - al., - -, therefor. (LIST OF REFERENCES CITED BY APPLICANT AND CONSIDERED BY EXAMINER DATED JUNE 11, 2013, SHEET 2 (PAGE 28 OF FW), UNDER

"NON-PATENT LITERATURE DOCUMENTS", ENTRY 3, LINE 1)

On the Face Page, in Field (56), under "OTHER PUBLICATIONS", in Column 2, Line 11, delete "al" and insert - - al., - -, therefor. (LIST OF REFERENCES CITED BY APPLICANT AND CONSIDERED BY EXAMINER DATED JUNE 11, 2013, SHEET 2 (PAGE 28 OF FW), UNDER "NON-PATENT LITERATURE DOCUMENTS", ENTRY 4, LINE 1)

On the Face Page, in Field (56), under "OTHER PUBLICATIONS", in Column 2, Line 15, delete "Howlett-Packard" and insert - - Hewlett-Packard - -, therefor. (LIST OF REFERENCES CITED BY APPLICANT AND CONSIDERED BY EXAMINER DATED JUNE 11, 2013, SHEET 2 (PAGE 28 OF FW), UNDER "NON-PATENT LITERATURE DOCUMENTS", ENTRY 5, LINE 1)

In Column 5, Line 61, delete "and or" and insert - - and/or - -, therefor. (ORIGINALLY FILED SPECIFICATION DATED FEBRUARY 26, 2010, PAGE 10, LINE 1)

In Column 9, Line 7, in Claim 15, delete "for managing" and insert - - to manage - -, therefor. (AMENDMENTS TO THE CLAIMS DATED AUGUST 13, 2013, PAGE 5, CLAIM 15, LINE 1)

The requested corrections are attached on Form PTO/SB/44.

Dated this 4th day of February, 2014.

Respectfully Submitted,

<u>/R. Burns Israelsen/ Reg. # 42,685</u> R. BURNS ISRAELSEN Acting in Representative Capacity (37 CFR 1.34) Registration No. 42,685 Telephone No. (435) 252-1360

Electronic Patent Application Fee Transmittal							
Application Number:	12	713220					
Filing Date:	26-Feb-2010						
Title of Invention:	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR						
First Named Inventor/Applicant Name:	Andrew WOLFE						
Filer:	Robert Burns Israelsen/Jeffrey Woodward						
Attorney Docket Number:	121-0019-US-REG						
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Certificate of Correction		1811	1	100	100		
Extension-of-Time:							

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Miscellaneous:					
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Case 7:24-cv-00029-DC-DTG Do Electronic A	ocument 29-4 Filed 12/04/24 Page 10 of 195 cknowledgement Receipt
EFS ID:	18105822
Application Number:	12713220
International Application Number:	
Confirmation Number:	4243
Title of Invention:	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR
First Named Inventor/Applicant Name:	Andrew WOLFE
Customer Number:	83446
Filer:	Robert Burns Israelsen/Jeffrey Woodward
Filer Authorized By:	Robert Burns Israelsen
Attorney Docket Number:	121-0019-US-REG
Receipt Date:	04-FEB-2014
Filing Date:	26-FEB-2010
Time Stamp:	12:13:05
Application Type:	Utility under 35 USC 111(a)
ment information:	1

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$100
RAM confirmation Number	10430
Deposit Account	
Authorized User	

## File Listing:

Document Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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Car	se 7:24-cv-00029-DC-DTG	Document 29-4 Filed	<del>12/04/24 Page</del>	11 of 195	
1	Request for Certificate of Correction	US-600189-01-US- REG_2014-02-04_CoC.pdf	254990 7662d7a73eede60445db0a52e137d1ffa75 6e38c	no	2
Warnings:					
Information:					
2	Request for Certificate of Correction	US-600189-01-US- REG_2014-02-04_Request_Lett	148834	no	3
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Warnings:					
Information:					
3	Fee Worksheet (SB06)	fee-info.pdf	30063	no	2
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Warnings:					
Information:					
		Total Files Size (in bytes)	43	33887	
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

CONFIRMATION NO. APPLICATION NO. ISSUE DATE PATENT NO. ATTORNEY DOCKET NO. 4243

12/713,220 10/01/2013 8549339 121-0019-US-REG

REN-SHENG INTERNATIONAL IP MANAGEMENT LTD. GENE I. SU 7F, NO. 57, SEC. 2, DUN HUA S. ROAD TAIPEI, 106 **TAIWAN** 

09/11/2013

## **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 524 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Andrew WOLFE, Los Gatos, CA; Marc Elliot LEVITT, San Jose, CA;

83446

7590

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

## Case 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 13 of 195

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/713,220	02/26/2010	Andrew WOLFE	121-0019-US-REG	4243	
	7590 09/05/201 INTERNATIONAL IP	EXAMINER			
GENE I. SU		BUTLER, DENNIS			
TAIPEI, 106	7F, NO. 57, SEC. 2, DUN HUA S. ROAD TAIPEI, 106 TAIWAN		ART UNIT	PAPER NUMBER	
TAIWAN			2115		
			NOTIFICATION DATE	DELIVERY MODE	
			09/05/2013	ELECTRONIC	

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

gsu@suipconsulting.com filing@suipconsulting.com gene.i.su@gmail.com

	Application No.	Applicant(s)					
Response to Rule 312 Communication	12/713,220	WOLFE ET AL.					
nesponse to ridie 312 communication	Examiner	Art Unit					
	DENNIS M. BUTLER	2115					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address –							
<ul> <li>1.  ☐ The amendment filed on 13 August 2013 under 37 CFR</li> <li>a) ☐ entered.</li> </ul>	1.312 has been considered, and has	been:					
b) 🛛 entered as directed to matters of form not affecting the scope of the invention.							
c) disapproved because the amendment was filed after the payment of the issue fee.  Any amendment filed after the date the issue fee is paid must be accompanied by a petition under 37 CFR 1.313(c)(1) and the required fee to withdraw the application from issue.							
d) disapproved. See explanation below.							
e) 🛛 entered in part. See explanation below.							
The amendments to claims 2,3,16,18,19 and 20 have bee affecting the scope of the invention. The amendment to claim and there is no reason when the method claim and there is no reason when the method claim are inventionally and the method claim are inve	aim 15 has not been entered because	e it would add indefinite					
	Primary Examiner, Art Unit	2115					

24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 15 of 195

/D.B./ 08/26/2013

**PATENT** Atty. Dkt. No. 121-0019-US-REG

Group Art Unit: 2115

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: *\$\$\$\$\$\$\$\$\$\$\$\$\$\$\$\$* Andrew WOLFE

Serial No.: 12/713,220

Confirmation No.: Examiner: 4243 **BUTLER, DENNIS** 

Filed: February 26, 2010

For: PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR

#### MAIL STOP ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## AMENDMENT AFTER ALLOWANCE UNDER 37 C.F.R. §1.312 AND **ISSUE FEE TRANSMITTAL**

The Examiner is thanked for issuing the Notice of Allowance and Fee Due mailed on June 11, 2013. Please enter the following amendment prior to issuing the present application as a patent under 37 C.F.R. §1.312.

Enclosed herewith please also find Issue Fee Transmittal Form PTOL-85(B).

The Issue Fee and the Publication Fee are being paid by credit card via EFS-Web.

It is respectfully noted that there may be additional reasons for allowance that have not been specifically cited, and which may apply to various of the allowed claims, in addition to or instead of the Examiner's Reasons for Allowance. It is respectfully suggested that notwithstanding the Examiner's Reasons for Allowance, each of the allowed claims is believed to be patentable in its own right and/or at least for other reasons raised during the prosecution and/or explained in the specification of this application.

To the extent that any statements regarding patentability of any claims allowed by the Examiner made in any document filed in this application are inconsistent with or not included in the Examiner's Reasons for Allowance, they are incorporated by reference herein.

Atty. Dkt. No. 121-0019-US-REG

Although it is believed that no additional fees are due in connection with this submission, the Commissioner is hereby authorized to charge Counsel's Deposit Account No. 50-4588/121-0019-US-REG for any fees required to make this submission timely and acceptable to the Office.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)

06/11/2013 REN-SHENG INTERNATIONAL IP MANAGEMENT LTD. GENE I. SU 7F, NO. 57, SEC. 2, DUN HUA S. ROAD TAIPEI, 106 **TAIWAN** 

Certificate of Mailing or Transmission

TAIWAN							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
12/713,220	02/26/2010		Andrew WOLFE		121	1-0019-US-REG	4243
TILE OF INVENTION	N: PROCESSOR CORE	COMMUNICATION IN	MULTI-CORE PROCESS	OR			
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1780	\$300	\$0	•	\$2080	09/11/2013
EXAM	MINER	ART UNIT	CLASS-SUBCLASS	1			
BUTLER	, DENNIS	2115	713-322000	-			
Change of correspond	lence address or indicatio	n of "Fee Address" (37	2. For printing on the p	patent front page, lis	t	, DEM OUE	NIO INTERDITATIONIA I
	pondence address (or Cha		(1) the names of up to or agents OR, alternation	3 registered patent vely,	attorn	eys 1 <u>REN-SHE</u>	NG INTERNATIONAL

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) EMPIRE TECHNOLOGY DEVELOPMENT LLC WILMINGTON, DELAWARE Please check the appropriate assignee category or categories (will not be printed on the patent): 🗖 Individual 🚨 Corporation or other private group entity 🗖 Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) X Issue Fee A check is enclosed. Dublication Fee (No small entity discount permitted) 🛚 Payment by credit card. <del>Form PTO-2038 is attached.</del> The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). Advance Order - # of Copies

15A and 15B), issue				
ication abandonment.				
<u>NOTE:</u> If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.				
ent to small or micro				
ignee or other party in				
he USPTO to process) thering, preparing, and ou require to complete ent of Commerce, P.O. atents, P.O. Box 1450, ber.				

Electronic Patent Application Fee Transmittal							
Application Number:	12	713220					
Filing Date:	26-Feb-2010						
Title of Invention:	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR						
First Named Inventor/Applicant Name:	Andrew WOLFE						
Filer:	Gene I. Su						
Attorney Docket Number:	121-0019-US-REG						
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Utility Appl Issue Fee		1501	1	1780	1780		
Publ. Fee- Early, Voluntary, or Normal		1504	1	300	300		

Case 7:24-cv-00029-DC-DTG Do  Description	ree Code	led 12/04/24 Quantity	Page 20 Amount	Of 195 Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	То	tal in USD	(\$)	2080

Case 7:24-cv-00029-DC-DTG Do	_
Electronic Ac	knowledgement Receipt
EFS ID:	16570306
Application Number:	12713220
International Application Number:	
Confirmation Number:	4243
Title of Invention:	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR
First Named Inventor/Applicant Name:	Andrew WOLFE
Customer Number:	83446
Filer:	Gene I. Su
Filer Authorized By:	
Attorney Docket Number:	121-0019-US-REG
Receipt Date:	13-AUG-2013
Filing Date:	26-FEB-2010
Time Stamp:	03:24:43
Application Type:	Utility under 35 USC 111(a)
Payment information:	

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$2080
RAM confirmation Number	8367
Deposit Account	
Authorized User	

## File Listing:

Document Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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Car	<del>se 7:24-cv-00029-DC-DTG</del>	Document 29-4 Filed	<del>12/04/24 Page</del>	22 of 195	
1	Amendment after Notice of Allowance (Rule 312)	121-0019-US-REG_312- Amendment_General-	58853 7476264bf8baab0992ba2ab3d9692754717 d5875	no	8
Warnings:					
Information:					
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3	Fee Worksheet (SB06)	fee-info.pdf	31854	no	2
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

**PATENT** Atty. Dkt. No. 121-0019-US-REG

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: *\$\$\$\$\$\$\$\$\$\$\$\$\$\$* Andrew WOLFE Group Art Unit: 2115 Serial No.: 12/713,220 Confirmation No.: 4243 Examiner: **BUTLER, DENNIS** Filed: February 26, 2010 For: PROCESSOR CORE COMMUNICATION IN

MAIL STOP ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

MULTI-CORE PROCESSOR

Dear Sir:

## AMENDMENT AFTER ALLOWANCE UNDER 37 C.F.R. §1.312 AND **ISSUE FEE TRANSMITTAL**

The Examiner is thanked for issuing the Notice of Allowance and Fee Due mailed on June 11, 2013. Please enter the following amendment prior to issuing the present application as a patent under 37 C.F.R. §1.312.

Enclosed herewith please also find Issue Fee Transmittal Form PTOL-85(B).

The Issue Fee and the Publication Fee are being paid by credit card via EFS-Web.

It is respectfully noted that there may be additional reasons for allowance that have not been specifically cited, and which may apply to various of the allowed claims, in addition to or instead of the Examiner's Reasons for Allowance. It is respectfully suggested that notwithstanding the Examiner's Reasons for Allowance, each of the allowed claims is believed to be patentable in its own right and/or at least for other reasons raised during the prosecution and/or explained in the specification of this application.

To the extent that any statements regarding patentability of any claims allowed by the Examiner made in any document filed in this application are inconsistent with or not included in the Examiner's Reasons for Allowance, they are incorporated by reference herein.

Atty. Dkt. No. 121-0019-US-REG

Although it is believed that no additional fees are due in connection with this submission, the Commissioner is hereby authorized to charge Counsel's Deposit Account No. 50-4588/121-0019-US-REG for any fees required to make this submission timely and acceptable to the Office.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Atty. Dkt. No. 121-0019-US-REG

#### IN THE CLAIMS:

The listing of claims will replace all prior versions, and listings, of claims in the application.

- 1. (Previously Presented) A multi-core processor, comprising:
  - a first set of processor cores of the multi-core processor, wherein each processor core from the first set of processor cores is configured to dynamically receive a first supply voltage and a first output clock signal of a first phase lock loop (PLL) having a first clock signal as input;
  - a second set of processor cores of the multi-core processor, wherein each processor core from the second set of processor cores is configured to dynamically receive a second supply voltage and a second output clock signal of a second PLL having a second clock signal as input, wherein the first supply voltage is independent from the second supply voltage, and the first clock signal is independent from the second clock signal; and
  - an interface block coupled to the first set of processor cores and also coupled to the second set of processor cores, wherein the interface block is configured to facilitate communication between the first set of processor cores and the second set of processor cores.
- 2. (Currently Amended) The multi-core processor of claim 1, wherein the interface block further eomprising comprises a first level shifter that is referenced to the second supply voltage and adapted to translate first logic levels associated with the first set of processor cores to second logic levels associated with the second set of processor cores for a first signal traveling from the first set of processor cores to the second set of processor cores.
- 3. (Currently Amended) The multi-core processor of claim 1, wherein the interface block further comprising comprises a second level shifter that is referenced to the first supply voltage and adapted to translate second logic levels associated with the second set of processor cores to first logic levels associated with the first set of processor cores for a second signal traveling from the second set of processor cores to the first set of processor cores.
- 4. (Original) The multi-core processor of claim 1, wherein the interface block further comprises a synchronizer configured to synchronize the first clock signal and the second clock

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signal for communication between one or more processor cores of the first set of processor cores and one or more processor cores of the second set of processor cores.

- 5. (Original) The multi-core processor of claim 1, wherein the first set of processor cores and the second set of processor cores are configured to receive one or more control signals from one or more control blocks located in a periphery of the multi-core processor.
- 6. (Original) The multi-core processor of claim 1, wherein the first set of processor cores are located in a first region of the multi-core processor, and the second set of processor cores are located in a second region of the multi-core processor.
- 7. (Original) The multi-core processor of claim 6, wherein the first region and the second region are overlapping regions of the multi-core processor.
- 8. (Original) The multi-core processor of claim 6, wherein the first region and the second region are non-overlapping regions of the multi-core processor.
- 9. (Original) The multi-core processor of claim 6, wherein the first region corresponds to a first row of the multi-core processor, and wherein the second region corresponds to a second row of the multi-core processor.
- 10. (Previously Presented) The multi-core processor of claim 1, wherein the interface block is configured to idle communications between the first set of processor cores and the second set of processor cores when one or more of the first output clock signal and/or the second output clock signal is determined to have changed.
- 11. (Previously Presented) The multi-core processor of claim 10, wherein the interface block is configured to resume communication between the first set of processor cores and the second set of processor cores after one or more of the first output clock signal and/or the second output clock signal is determined to have stabilized.
- 12. (Original) The multi-core processor of claim 5, wherein the first set of processor cores is adjacent to the second set of processor cores, and the one or more control blocks are

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configured to select the first supply voltage and the second supply voltage to maintain a differential relationship between the first supply voltage and the second supply voltage.

- 13. (Original) The multi-core processor of claim 12, wherein the differential relationship is based on having an output voltage level associated with the first set of processor cores to be within an acceptable input voltage level associated with the second set of processor cores.
- 14. (Original) The multi-core processor of claim 1, wherein the first set of processor cores and the second set of processor cores are configured to receive one or more control signals from one or more control blocks located in a common region that is substantially central to the first set of processor cores and the second set of processor cores.
- 15. (Currently Amended) A method for managingto manage communications in a multi-core processor that includes a plurality of processor cores having a first set of processor cores and a second set of processor cores, the method comprising:
  - idling communications between the first set of processor cores and the second set of processor cores in response to a clock frequency change request for the first set of processor cores; and
  - resuming communications between the first set of processor cores and the second set of processor cores after having determined that a first phase lock loop operation associated with a first clock signal for the first set of processor cores has acquired a first lock signal and a second phase lock loop operation associated with a second clock signal for the second set of processor cores has also acquired a second lock signal, wherein the first clock signal is independent from the second clock signal.
- 16. (Currently Amended) The method of claim 15, wherein resuming communications further comprising comprises having determined that a third phase lock loop operation associated with a third set of processor cores in the multi-core processor has acquired a third lock signal, wherein the third set of processor cores is adjacent to the first set of processor cores.
- 17. (Original) The method of claim 16, wherein the second set of processor cores is adjacent to the first set of processor cores.

18. (Currently Amended) A non-transistory non-transitory computer-readable medium containing a sequence of instructions for managingto manage communications in a multi-core processor that includes a plurality of processor cores having a first set of processor cores and a second set of processor cores, which when executed by a computing device, causes the computing device to:

issue a first command to idle communications between the first set of processor cores and the second set of processor cores in response to a clock frequency change request for the first set of processor cores;

- issue a second command to resume communications between the first set of processor cores and the second set of processor cores after having determined that a first phase lock loop operation associated with a first clock signal for the first set of processor cores has acquired a first lock signal and a second phase lock loop operation associated with a second clock signal for the second set of processor cores has also acquired a second lock signal, wherein the first clock signal is independent from the second clock signal.
- 19. (Currently Amended) The non-transistorynon-transitory computer-readable medium of claim 18, further including a sequence of instructions, which when executed by the computing device, causes the computing device to determine whether a third phase lock loop operation associated with a third set of processor cores in the multi-core processor has acquired a third lock signal before issuing the second command, wherein the third set of processor cores is adjacent to the first set of processor cores.
- 20. (Currently Amended) The non-transistorynon-transitory computer-readable medium of claim 19, wherein the second set of processor cores is adjacent to the first set of processor cores.
- 21. (Previously Presented) A multi-core processor, comprising:
  - a first set of processor cores of the multi-core processor, wherein each processor core from the first set of processor cores is configured to dynamically receive a first supply voltage from a power control block and a first output clock signal from a first phase lock loop (PLL) having a first clock signal as input in a clock control block:

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- a second set of processor cores of the multi-core processor, wherein each processor core from the second set of processor cores is configured to dynamically receive a second supply voltage from the power control block and a second output clock signal from a second PLL having a second clock signal as input in the clock control block, wherein the first supply voltage is independent from the second supply voltage, and the first clock signal is independent from the second clock signal; and
- an interface block coupled to the first set of processor cores and also coupled to the second set of processor cores, wherein the interface block is configured to facilitate communication between the first set of processor cores and the second set of processor cores.
- 22. (Previously Presented) The multi-core processor of claim 21, wherein the interface block is configured to idle communications between the first set of processor cores and the second set of processor cores when one or more of the first output clock signal and/or the second output clock signal is determined to have changed.
- 23. (Previously Presented) The multi-core processor of claim 22, wherein the interface block is configured to resume communication between the first set of processor cores and the second set of processor cores after one or more of the first output clock signal and/or the second output clock signal is determined to have stabilized.

Atty. Dkt. No. 121-0019-US-REG

#### **REMARKS**

Please enter the above amendment prior to issuing the present application as a patent. Specifically, claims 2-3, 15-16, and 18-20 have been amended to merely address formal matters in the claims without changing the scope thereof.

The amendments do not introduce any new matter or raise any new issues. If there are any questions about any of the foregoing, please contact the undersigned representative.

Respectfully submitted,

/Gene Su/

Gene Su Registration No. 45,140

Telephone: (886) 2.2700.7882 Facsimile 1: (886) 2.2700.7856 Facsimile 2: (650) 644.3217 Email: filing@suipconsulting.com



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

### NOTICE OF ALLOWANCE AND FEE(S) DUE

REN-SHENG INTERNATIONAL IP MANAGEMENT LTD. GENE I. SU 7F, NO. 57, SEC. 2, DUN HUA S. ROAD TAIPEI, 106 TAIWAN EXAMINER
BUTLER, DENNIS

ART UNIT PAPER NUMBER

2115

DATE MAILED: 06/11/2013

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/713 220	02/26/2010	Andrew WOLFE	121-0019-US-REG	4243

TITLE OF INVENTION: PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1780	\$300	\$0	\$2080	09/11/2013

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### Case 7:24-cv-00029-DC-DTGRT DOCHERS PERSON FILE 12/04/24 Page 32 of 195

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee sufficient in the current correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

 ☐ A check is enclosed.

Payment by credit card. Form PTO-2038 is attached.

overpayment, to Deposit Account Number

The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any

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REN-SHENG INTERNATIONAL IP MANAGEMENT LTD. GENE I. SU 7F, NO. 57, SEC. 2, DUN HUA S. ROAD TAIPEI, 106		IENT LTD.  St ad tra	Cer nereby certify that the ates Postal Service we dressed to the Mail unsmitted to the USP	tificate is Fee(s vith suf Stop FO (57	of Mailing or Trans s) Transmittal is being ficient postage for firs ISSUE FEE address 1) 273-2885, on the da	mission g deposited with the United st class mail in an envelope above, or being facsimile tte indicated below.  (Depositor's name)	
TAIWAN							(Signature)
			L				(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	or.	ATTO:	RNEY DOCKET NO.	CONFIRMATION NO.
12/713,220	02/26/2010	•	Andrew WOLFE		12	1-0019-US-REG	4243
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APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUI	E PREV. PAID ISSUE	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1780	\$300	\$0		\$2080	09/11/2013
EXAM	MINER	ART UNIT	CLASS-SUBCLASS	7			
BUTLER	, DENNIS	2115	713-322000	_			
CFR 1.363).  Change of corresp Address form PTO/S  "Fee Address" inc	ence address or indicatio condence address (or Cha B/122) attached. lication (or "Fee Address 02 or more recent) attach	nge of Correspondence	2. For printing on the (1) the names of up or agents OR, alterna (2) the name of a sin registered attorney of 2 registered patent at listed, no name will be	to 3 registered paten tively, gle firm (having as a gragent) and the name torneys or agents. If	t attorn membes of u	er a 2	
	less an assignee is ident th in 37 CFR 3.11. Comp	A TO BE PRINTED ON I ified below, no assignee oletion of this form is NO	a a	patent. If an assignon assignment.			ocument has been filed for
Please check the appropri	riate assignee category or	categories (will not be pr	rinted on the patent):	☐ Individual ☐ Co	orporati	on or other private gro	oup entity Government
4a. The following fee(s)	are submitted:	41	o. Payment of Fee(s): ( <b>Pl</b>	ease first reapply an	ıy prev	iously paid issue fee	shown above)

Page 2 of 4

## Case 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 33 of 195

5. Change in Entity Status (from status indicated above)	
☐ Applicant certifying micro entity status. See 37 CFR 1.29	NOTE: Absent a valid certification of Micro Entity Status (see form PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.
☐ Applicant asserting small entity status. See 37 CFR 1.27	<u>NOTE:</u> If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.
Applicant changing to regular undiscounted fee status.	NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.
NOTE: The Issue Fee and Publication Fee (if required) will not be accepte interest as shown by the records of the United States Patent and Trademar	ed from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in k Office.
Authorized Signature	Date
Typed or printed name	Registration No.

an application. Confidentiality is governed by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USP1O to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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DATE MAILED: 06/11/2013

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/713,220	02/26/2010	Andrew WOLFE	121-0019-US-REG	4243
83446 75	90 06/11/2013	EXAMINER		
REN-SHENG INTERNATIONAL IP MANAGEMENT LTD.			BUTLER, DENNIS	
GENE I. SU				
7F, NO. 57, SEC. 2, DUN HUA S. ROAD		ART UNIT	PAPER NUMBER	
TAIPEI, 106		2115		
TAIWAN				

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 491 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 491 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No. 12/713,220	Applicant(s) WOLFE ET A				
Notice of Allowability	Examiner DENNIS M. BUTLER	Art Unit 2115	AIA (First Inventor to File) Status No			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included nerewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.						
. ☑ This communication is responsive to the IDS filed on April 25, 2013. ☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on						
	2. An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.					
3. The allowed claim(s) is/are <u>1-23</u> . As a result of the allowed claim(s), you may be eligible to benefit from the <b>Patent Prosecution Highway</b> program at a participating intellectual property office for the corresponding application. For more information, please see <a href="http://www.uspto.gov/patents/init_events/pph/index.jsp">http://www.uspto.gov/patents/init_events/pph/index.jsp</a> or send an inquiry to <a href="mailto:PPHfeedback@uspto.gov">PPHfeedback@uspto.gov</a> .						
4.  Acknowledgment is made of a claim for foreign priority under	35 U.S.C. § 119(a)-(d) or (f).					
Certified copies:  a)  All b) Some *c) None of the:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).						
* Certified copies not received:						
Interim copies: a)  All b)  Some c)  None of the: Interim copi	es of the priority documents have b	een received.				
Applicant has THREE MONTHS FROM THE "MAILING DATE" on noted below. Failure to timely comply will result in ABANDONMETHIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with	the requirements			
5. CORRECTED DRAWINGS (as "replacement sheets") must	be submitted.					
including changes required by the attached Examiner's Paper No./Mail Date	Amendment / Comment or in the O	ffice action of				
Identifying indicia such as the application number (see 37 CFR 1.8 each sheet. Replacement sheet(s) should be labeled as such in th			not the back) of			
6. DEPOSIT OF and/or INFORMATION about the deposit of BI attached Examiner's comment regarding REQUIREMENT FOR			ne			
Attachment(s)  1. Notice of References Cited (PTO-892)	5.  ☐ Examiner's Amendr	ment/Comment				
2. X Information Disclosure Statements (PTO/SB/08),	6. Examiner's Stateme	ent of Reasons	for Allowance			
Paper No./Mail Date <u>4/25/2013</u> 3. ☐ Examiner's Comment Regarding Requirement for Deposit 7. ☐ Other of Biological Material 4. ☐ Interview Summary (PTO-413), Paper No./Mail Date						
/DENNIS M BUTLER/						
Primary Examiner, Art Unit 2115						

# Index of Claims 12713220 Examiner DENNIS M BUTLER Applicant(s)/Patent Under Reexamination WOLFE ET AL. Art Unit 2115

✓	Rejected	-	Cancelled	N	N Non-Elected		A	Appeal
=	Allowed	÷	Restricted	I	Interference		0	Objected
	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47							

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47										
CLA	lМ	DATE								
Final	Original	08/23/2012	02/06/2013	06/01/2013						
	1	<b>√</b>	=	=						
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	3	<b>√</b>	=	=						
	4	✓	=	=						
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	7	✓	=	=						
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	22	✓	=	=						
	23	✓	=	=						

# Case 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 38 of 195

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		12713220	
	Filing Date		2010-02-26	
INFORMATION DISCLOSURE	First Named Inventor	Andre	w WOLFE	
( Not for submission under 37 CFR 1.99)	Art Unit		2115	
(Not for Submission under 07 Of K 1.55)	Examiner Name	Denni	s BUTLER	
	Attorney Docket Number		121-0019-US-REG	

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	)ate	Name of Pat of cited Docu	entee or Applicant ument	Relev	es,Columns,Lines where vant Passages or Relevant es Appear		
/D.B./	1	7219245	B1	2007-05	5-15	Raghuvanshi					
/D.B./	2	6711447	B1	2004-03	3-23	Saeed					
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Case 7.24-CV-00029-DC-DTV	G Document 29-4 Application Number		ed 12/04/24 12713220	Page 39 of 195	
NEODMATION DIOCE COURT	Filing Date		2010-02-26		
INFORMATION DISCLOSURE	First Named Inventor	Andre	w WOLFE		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2115		
(Not for Submission and of STA 1.55)	Examiner Name	Denni	s BUTLER		
	Attorney Docket Numb	er	121-0019-US-RE	G	

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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.						
/D.B./	1	JOONHO KONG et al., "Low-Cost Application-Aware DVFS for Multi-Core Architecture", Third 2008 International Conference on Convergence and Hybrid Information Technology, IEEE Computer Society, 2008, pages 106-111						
/D.B./	2	REINALDO BERGAMASCHI et al., "Exploring Power Management in Multi-Core Systems", IEEE, 2008, pages 708-713 (Downloaded on July 13, 2009 from IEEE Xplore)						
/D.B./	3	WAYNE H. CHENG et al., "Dynamic Voltage and Frequency Scaling Circuits with Two Supply Voltages", IEEE, 2008, pages 1236-1239 (Downloaded on July 14, 2009 from IEEE Xplore)						
/D.B./	WONYOUNG KIM et al., "System Level Analysis of Fast, Per-Core DVFS using On-Chip Switching Regulators", High Performance Computer Architecture, IEEE 14th International Symposium, Feb. 16-20, 2008, pages 123-134							
/D.B./	5	HOWLETT-PACKARD CORPORATION et al., "Advanced Configuration and Power Interface Specification", October 10, 2006, Pages 1-631 (represented by 4 different files), Revision 3.0b						
/D.B./	6	MICAH SCHMIDT, "Nehalem: Xeon Gets Core i7 Upgrade", 2CPU.com, Mar 29, 2009 <a href="http://www.2cpu.com/review.php?id=117">http://www.2cpu.com/review.php?id=117</a>						
/D.B./	7	"Third-Generation AMD Opteron Processor Key Architectural Features", WAYBACKMACHINE, October 20, 2007 <a href="http://www.amd.com/us-en/Processors/ProductInformation/0,,30_118_8796_15224,00.html">http://www.amd.com/us-en/Processors/ProductInformation/0,,30_118_8796_15224,00.html</a>						
If you wis	n to ac	d additional non-patent literature document citation information please click the Add button Add						
EXAMINER SIGNATURE								
Examiner	Signa	ture /Dennis Butler/ Date Considered 06/01/2013						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.								

Case 7:24-cv-00029-DC-DT	Control Document 29-4 Application Number	Fil	ed 12/04/24 12713220	Page 40 of 195	ş
NIEGONA 710 N DIGGI GOLIDE	Filing Date 2		2010-02-26		
INFORMATION DISCLOSURE	First Named Inventor Andrew		ew WOLFE		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2115		
(Notion Submission under or or it 1.00)	Examiner Name	Denni	nnis BUTLER		
	Attorney Docket Numb	er	121-0019-US-REG		

**CERTIFICATION STATEMENT** 

Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):					
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OR	1							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
	See attached cer	rtification statement.						
	The fee set forth	in 37 CFR 1.17 (p) has been submitted here	with.					
×	A certification sta	atement is not submitted herewith.						
	ignature of the ap n of the signature.	SIGNAT plicant or representative is required in accord		8. Please see CFR 1.4(d) for the				
Sigr	nature	/Gene Su/	Date (YYYY-MM-DD)	2013-04-25				
Nan	ne/Print	Gene Su	Registration Number	45140				
pub 1.14 app	lic which is to file ( I. This collection i lication form to the	mation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an application sestimated to take 1 hour to complete, inclued USPTO. Time will vary depending upon the list form and/or suggestions for reducing this	n. Confidentiality is gover ding gathering, preparing e individual case. Any cor	rned by 35 U.S.C. 122 and 37 CFR and submitting the completed mments on the amount of time you				

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VA 22313-1450.

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- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

# Search Notes 12713 Exam DENN

Application/Control No.	Applicant(s)/Patent Under Reexamination
12713220	WOLFE ET AL.
Examiner	Art Unit
DENNIS M BUTLER	2115

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED						
Symbol	Date	Examiner				

US CLASSIFICATION SEARCHED							
Class	Subclass	Date	Examiner				

SEARCH NO	OTES			
Search Notes	Date	Examiner		
EAST search attached.	8/22/2012	DB		
EAST search attached.	2/6/2013	DB		
EAST search attached.	6/1/2013	DB		

INTERFERENCE SEARCH											
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner								
713	320, 322, 501	2/6/2013	DB								
713	320, 322, 501	6/1/2013	DB								

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# **EAST Search History**

## **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	2	("6711447"   "7219245").PN.	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:07
L2	59622	(plurality multi multiple) adj2 core	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:14
L3	25595	2 near5 (processor microprocessor cpu mpu)	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:15
L4	3546	2 near5 (set group\$3)	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:17
L5	2363	3 and 4	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:17
L6	323	5 and voltage and (clock frequency)	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:18
L7	1	1 and 6	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:18
L8	2615	first adj2 pll	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:26
L9	2784	second adj2 pll	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:26
L10	2409	second adj2 (phase adj lock\$2 adj loop)	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:28
L11	2190	first adj2 (phase adj lock\$2 adj loop)	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:28
L12	4752	9 or 10	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:28
L13	4228	8 or 11	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:28
L14	2942	12 and 13	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:29
L15	1	6 and 14	US-PGPUB; USPAT; USOCR	OR	ON	2013/06/01 22:29

## **EAST Search History (Interference)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L16	56394	(plurality multi multiple) adj2 core	US-PGPUB; USPAT; UPAD	OR	ON	2013/06/01 22:33
L17	25976	16 near5 (processor microprocessor cpu mpu)	US-PGPUB; USPAT; UPAD	OR	ON	2013/06/01 22:33
L18	3394	16 near5 (set group\$3)	US-PGPUB; USPAT; UPAD	OR	ON	2013/06/01 22:34
L19	2401	17 and 18	US-PGPUB; USPAT; UPAD	OR	ON	2013/06/01 22:34
L20	328	19 and voltage and (clock frequency)	US-PGPUB; USPAT; UPAD	OR	ON	2013/06/01 22:35

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L21	2598	first adj2 pll	US-PGPUB; USPAT; UPAD	OR	ON	2013/06/01 22:35
L22	2163	first adj2 (phase adj lock\$2 adj loop)	US-PGPUB; USPAT; UPAD	OR	ON	2013/06/01 22:35
L23	2777	second adj2 pll	US-PGPUB; USPAT; UPAD	OR	ON	2013/06/01 22:35
L24	2380	second adj2 (phase adj lock\$2 adj loop)	US-PGPUB; USPAT; UPAD	OR	ON	2013/06/01 22:36
L25	4183	21 or 22	US-PGPUB; USPAT; UPAD	OR	ON	2013/06/01 22:36
L26	4715	23 or 24	US-PGPUB; USPAT; UPAD	OR	ON	2013/06/01 22:36
L27	2922	25 and 26	US-PGPUB; USPAT; UPAD	OR	ON	2013/06/01 22:37
L28	1	20 and 27	US-PGPUB; USPAT; UPAD	OR	ON	2013/06/01 22:37
				***************************************		

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	Application/Control No.	Applicant(s)/Patent Under Reexamination							
Issue Classification	12713220	WOLFE ET AL.							
	Examiner	Art Unit							
	DENNIS M BUTLER	2115							

CPC			
Symbol		Туре	Version
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CPC Combination Sets									
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	US ORIGINAL CLASSIFICATION						INTERNATIONAL CLASSIFICATION									
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713 322					G	0	6	F	1 / 08 (2006.01.01)							
	CROSS REFERENCE(S)			G	0	6	F	1 / 32 (2006.01.01)								
	CF	1055 REFI	ERENCE	5)												
CLASS	SUE	BCLASS (ONE	SUBCLAS	S PER BLO	CK)											
713	320	501														

NONE	Total Clain	ns Allowed:					
(Assistant Examiner)	(Date)	23					
/DENNIS M BUTLER/ Primary Examiner.Art Unit 2115	6/1/2013	O.G. Print Claim(s)	O.G. Print Figure				
(Primary Examiner)	(Date)	1	3				

# Case 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 46 of 195

Issue Classification			12713220									Applicant(s)/Patent Under Reexamination WOLFE ET AL.								
				Examiner DENNIS M BUTLER								Art Unit 2115								
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NONE	Total Clain	ns Allowed:	
(Assistant Examiner)	(Date)	2	3
/DENNIS M BUTLER/ Primary Examiner.Art Unit 2115	6/1/2013	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	3

# Case 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 47 of 195

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12713220	WOLFE ET AL.
	Examiner	Art Unit
	Examino	Artonic

	Claims renumbered in the same order as presented by applicant							nt							
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2	2	18	18												
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NONE			ns Allowed:
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/DENNIS M BUTLER/ Primary Examiner.Art Unit 2115	6/1/2013	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	3

Doc code: RCEase 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 48 of 195 Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Filed 12/04/24

Doc description: Request for Continued Examination (RCE)

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	REQU	JEST FC		D EXAMINATION OF STREET	N(RCE)TRANSMITTA -Web)	<b>A</b> L			
Application Number	12/713,220	Filing Date	2010-02-26	Docket Number (if applicable)	121-0019-US-REG	Art Unit	2115		
First Named Inventor	Andrew WOLFE			Examiner Name	BUTLER, DENNIS	·			
This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.  Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV									
		S	UBMISSION REQ	UIRED UNDER 37	7 CFR 1.114				
Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s entered, applicant must request non-entry of such amendment(s).									
	y submitted. If a fin on even if this box			any amendments file	ed after the final Office action i	may be con	sidered as a		
☐ Co	nsider the argume	ents in the A	oppeal Brief or Reply	Brief previously filed	d on				
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An	nendment/Reply								
   ★ Info	ormation Disclosu	re Statemer	nt (IDS)						
Aff	davit(s)/ Declarati	ion(s)							
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			ntified application is d 3 months; Fee und		CFR 1.103(c) for a period of a quired)	months _			
Other —									
				FEES					
The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.  The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No									
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED									
	Practitioner Signa ant Signature	ature							

Doc code: RCECase 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 49 of 195/SB/30EFS (07-09)

Doc description: Request for Continued Examination (RCE)

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Signature of Registered U.S. Patent Practitioner						
Signature	/Gene Su/	Date (YYYY-MM-DD)	2013-04-25			
Name	Gene Su	Registration Number	45140			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

# Case 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 51 of 195

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
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	Application Number		12713220	
INFORMATION BIOCH COURT	Filing Date		2010-02-26	
INFORMATION DISCLOSURE	First Named Inventor Andre		drew WOLFE	
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit		2115	
( Not for Submission under or of K 1.00)	Examiner Name	Denni	is BUTLER	
	Attorney Docket Number		121-0019-US-REG	

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>			LICCUA LIATA		Name of Patentee or Applicant of cited Document		Relev	s,Columns,Lines where vant Passages or Releves es Appear	
	1	7219245	B1	2007-05	5-15	Raghuvanshi						
	2	6711447	B1	2004-03	3-23	Saeed						
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Case 7:24-cv-00029-DC-DT6	C Dooumont 20 4	mail.	od 12/04/24	Dogo E2 of 10E	
	Application Number		12713220	Page 52 of 195	
	Filing Date		2010-02-26		
	First Named Inventor	Andre	w WOLFE		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2115		
(Not let Submission under Gr Gr R 1.35)	Examiner Name	Denni	s BUTLER		
	Attorney Docket Number		121-0019-US-RE	G	

<sup>4</sup> Kind of doo	Standard S1.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.  Mind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.  Applicant is to place a check mark here if English language translation is attached.							
<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.								
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		<a href="http://www.amd.com/us-en/Processors/ProductInformation/0,,30_118_8796_15224,00.html">http://www.amd.com/us-en/Processors/ProductInformation/0,,30_118_8796_15224,00.html</a>						
	7	"Third-Generation AMD Opteron Processor Key Architectural Features", WAYBACKMACHINE, October 20, 2007						
		<a href="http://www.2cpu.com/review.php?id=117">http://www.2cpu.com/review.php?id=117</a>						
	6	MICAH SCHMIDT, "Nehalem: Xeon Gets Core i7 Upgrade", 2CPU.com, Mar 29, 2009						
	5	HOWLETT-PACKARD CORPORATION et al., "Advanced Configuration and Power Interface Specification", October 10, 2006, Pages 1-631 (represented by 4 different files), Revision 3.0b						
	4	WONYOUNG KIM et al., "System Level Analysis of Fast, Per-Core DVFS using On-Chip Switching Regulators", High Performance Computer Architecture, IEEE 14th International Symposium, Feb. 16-20, 2008, pages 123-134						
	3	WAYNE H. CHENG et al., "Dynamic Voltage and Frequency Scaling Circuits with Two Supply Voltages", IEEE, 2008, pages 1236-1239 (Downloaded on July 14, 2009 from IEEE Xplore)						
	2	REINALDO BERGAMASCHI et al., "Exploring Power Management in Multi-Core Systems", IEEE, 2008, pages 708-713 (Downloaded on July 13, 2009 from IEEE Xplore)						
	1	JOONHO KONG et al., "Low-Cost Application-Aware DVFS for Multi-Core Architecture", Third 2008 International Conference on Convergence and Hybrid Information Technology, IEEE Computer Society, 2008, pages 106-111						
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.						

Case 7:24-cv-00029-DC-DT	G Document 29-4 Application Number	Fil	l <del>led 12/04/24 Page 53 of 195</del> 12713220
INFORMATION BIOGLOGUES	Filing Date		2010-02-26
INFORMATION DISCLOSURE	First Named Inventor	Andre	ew WOLFE
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2115
(Not for Submission under or of it 1.00)	Examiner Name	Denni	nis BUTLER
	Attorney Docket Number		121-0019-US-REG

**CERTIFICATION STATEMENT** 

Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OR	1							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
	See attached cer	tification statement.						
	The fee set forth	in 37 CFR 1.17 (p) has been submitted here	with.					
X	A certification sta	tement is not submitted herewith.						
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	ignature of the ap n of the signature.	plicant or representative is required in accord	dance with CFR 1.33, 10.18	3. Please see CFR 1.4(d) for the				
Sigr	nature	/Gene Su/	Date (YYYY-MM-DD)	2013-04-25				
Nan	ne/Print	Gene Su	Registration Number	45140				
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND								

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- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
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Electronic Patent Application Fee Transmittal					
Application Number:	12	713220			
Filing Date:	26-	Feb-2010			
Title of Invention:	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR				ROCESSOR
First Named Inventor/Applicant Name:	Andrew WOLFE				
Filer:	Gene I. Su				
Attorney Docket Number:	121-0019-US-REG				
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Case 7:24-cv-00029-DC-DTG Doct  Description	<del>iment 29-4 Fil</del> Fee Code	ed 12/04/2 Quantity	4 Page 56 Amount	of 195 Sub-Total in USD(\$)
Miscellaneous:				
Request for Continued Examination	1801	1	1200	1200
	Tot	al in USD	(\$)	1200

Case 7:24-cv-00029-DC-DTG Do	•
Electronic Ac	knowledgement Receipt
EFS ID:	15607313
Application Number:	12713220
International Application Number:	
Confirmation Number:	4243
Title of Invention:	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR
First Named Inventor/Applicant Name:	Andrew WOLFE
Customer Number:	83446
Filer:	Gene I. Su
Filer Authorized By:	
Attorney Docket Number:	121-0019-US-REG
Receipt Date:	25-APR-2013
Filing Date:	26-FEB-2010
Time Stamp:	05:45:05
Application Type:	Utility under 35 USC 111(a)
Payment information:	

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1200
RAM confirmation Number	7527
Deposit Account	
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Ju			12/04/24 Page 697381	<del>58 of 19</del> 5	
1	Request for Continued Examination (RCE)	121-0019-US- REG_RCE_2013-02-14.pdf	5845421c7cc672bd45bd42fc06177c40230	no	3
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2	Information Disclosure Statement (IDS)	121-0019-US-REG_IDS.pdf	612976	no	4
	Form (SB08)		14eb9979d7b8ce9933eeca3d4d5b947599 1e18a8		
Warnings:					
Information:					
3	Non Patent Literature	121-0019-US-REG_NPL1-Low- Cost.pdf	180854	no	6
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4	Non Patent Literature	121-0019-US-REG_NPL2- Exploring.pdf	669151	no	6
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Warnings:					
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5 Non Patent Literature	Non Patent Literature	121-0019-US-REG_NPL3- Dynamic.pdf	219137	no	4
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6	Non Patent Literature	121-0019-US-REG_NPL4- System.pdf	1860305	no	14
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7	Non Patent Literature	Advanced_P1-157.pdf	859952ea29a1e8bec1a566193787c41af82 17dc8	no	157
Warnings:				•	
Information:					
8	Non Patent Literature	121-0019-US-REG_NPL5-	1734030	no	158
	Non Patent Literature  Advanced_P158-315.pdf		295dc4cc97d332cd1a3bd2fafca91bcfe25b 57d6	110	130
Warnings:					
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9	Non Patent Literature	121-0019-US-REG_NPL5-	1895228	no	200
		Advanced_P316-515.pdf	7e419535a8fe72af4af827c5a48aa4909760 19c3		

<del>Case</del>	7:24-cv-00029-DC-DTG	Pocument 29-4 Filed		<del>59 of 195</del>	
10	Non Patent Literature	121-0019-US-REG_NPL5- Advanced_P516-631.pdf	1275324 59787ff3000661c85261b2c2ed2d60c2f5ae	no	116
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Information:					
11	Non Patent Literature	121-0019-US-REG_NPL6-	110376	no	2
	Nehalem		69ddd5be04f3f45a44a83839fdb5a2959b2 88e44	110	2
Warnings:					
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12	Non Patent Literature	121-0019-US-REG_NPL7-Third-	92566	no	2
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

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BUTLER, DENNIS

ART UNIT PAPER NUMBER

2115

DATE MAILED: 02/14/2013

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/713 220	02/26/2010	Andrew WOLFE	121-0019-US-REG	4243

TITLE OF INVENTION: PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1770	\$300	\$0	\$2070	05/14/2013

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### Case 7:24-cv-00029-DC-DTGRT POFFERS PRANSMIF AND 12/04/24 Page 61 of 195

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Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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	ENCE ADDRESS (Note: Use Bl	, -	ess)	Note: A certificate of mailing can only be used for domestic mailings of t Fee(s) Transmittal. This certificate cannot be used for any other accompanyi papers. Each additional paper, such as an assignment or formal drawing, make its own certificate of mailing or transmission.			
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TAIWAN							(Depositor's name)
				$\vdash$			(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVE	NTOR	A	ITORNEY DOCKET NO.	CONFIRMATION NO.
12/713,220	02/26/2010		Andrew WOLF	Е		121-0019-US-REG	4243
TITLE OF INVENTION							
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE	DUE	PREV. PAID ISSUE F	` '	
nonprovisional	NO	\$1770	\$300		\$0	\$2070	05/14/2013
EXAM	IINER	ART UNIT	CLASS-SUBCLAS	SS			
BUTLER,	, DENNIS	2115	713-322000				
CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			(2) the name of a registered attorned 2 registered pater	(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.			
3. ASSIGNEE NAME A PLEASE NOTE: Uni recordation as set fort (A) NAME OF ASSI	less an assignee is ident h in 37 CFR 3.11. Comp	ified below, no assig	nee data will appear on NOT a substitute for fili	the pand and a	ntent. If an assignee assignment.  and STATE OR COU	JNTRY)	ocument has been filed for
Please check the appropr	riate assignee category or	categories (will not b	be printed on the patent):		Individual	oration or other private gro	oup entity 🔲 Government
4a. The following fee(s) are submitted:  ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies		A check is enclo	<ul> <li>4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)</li> <li>A check is enclosed.</li> <li>Payment by credit card. Form PTO-2038 is attached.</li> <li>The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form)</li> </ul>				
5. Change in Entity Sta	tus (from status indicated as SMALL ENTITY state		Dh. Amiliantia	1	on alaimina CMATT	ENTITY status. See 37 C	ED 1 27(~)(2)
NOTE: The Issue Fee an	d Publication Fee (if req	uired) will not be acce	epted from anyone other				ne assignee or other party in
interest as shown by the	records of the United Sta	ites Patent and Traden	nark Office.				
Authorized Signature					Date		
Typed or printed name					Registration No.		
This collection of inform an application. Confiden submitting the complete this form and/or suggests Box 1450, Alexandria, V Alexandria, Virginia 223	nation is required by 37 C tiality is governed by 35 d application form to the ions for reducing this bu /irginia 22313-1450. DO 113-1450.	CFR 1.311. The inform 5 U.S.C. 122 and 37 C 2 USPTO. Time will by rden, should be sent to D NOT SEND FEES C	nation is required to obta FR 1.14. This collection wary depending upon the othe Chief Information OR COMPLETED FORM	in or re is esti indiv Office AS TC	etain a benefit by the imated to take 12 mir idual case. Any comr r, U.S. Patent and Tra o THIS ADDRESS. S	public which is to file (and utes to complete, includir nents on the amount of tindemark Office, U.S. Dep. END TO: Commissioner	by the USPTO to process) g gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/713,220	02/26/2010	Andrew WOLFE	121-0019-US-REG	4243
02446 77	500 02/14/2012		EXAM	INER

REN-SHENG INTERNATIONAL IP MANAGEMENT LTD. GENE I. SU 7F, NO. 57, SEC. 2, DUN HUA S. ROAD TAIPEI, 106 TAIWAN

ART UNIT PAPER NUMBER
2115

BUTLER, DENNIS

DATE MAILED: 02/14/2013

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 491 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 491 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)				
	/ ipplication its.					
Notice of Allowability	12/713,220 <b>Examiner</b>	WOLFE ET AL.  Art Unit				
notice of the masking	Examine	Art Onit				
	DENNIS M. BUTLER	2115				
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. <b>THIS</b>				
1. 🛮 This communication is responsive to the amendment and remarks received on November 29, 2012.						
2. An election was made by the applicant in response to a rest requirement and election have been incorporated into this ac		ne interview on; the restriction				
3. The allowed claim(s) is/are 1-23. As a result of the allowed of Highway program at a participating intellectual property office <a href="http://www.uspto.gov/patents/init_events/pph/index.jsp">http://www.uspto.gov/patents/init_events/pph/index.jsp</a> or se	ce for the corresponding application.	For more information, please see				
<ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority unde</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> </ul>	r 35 U.S.C. § 119(a)-(d) or (f).					
<ol> <li>Certified copies of the priority documents have</li> </ol>	been received.					
2. Certified copies of the priority documents have	• • • • • • • • • • • • • • • • • • • •					
· · · · · · · · · · · · · · · · · · ·	3. Copies of the certified copies of the priority documents have been received in this national stage application from the					
International Bureau (PCT Rule 17.2(a)).						
* Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM		complying with the requirements				
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.						
5. CORRECTED DRAWINGS (as "replacement sheets") must						
including changes required by the attached Examiner's Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR 1.						
each sheet. Replacement sheet(s) should be labeled as such in the						
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT FO						
Attachment(s)						
1. Notice of References Cited (PTO-892)	5. Examiner's Amendn					
<ol> <li>Information Disclosure Statements (PTO/SB/08),</li> <li>Paper No./Mail Date</li> </ol>	6. 🔲 Examiner's Stateme	nt of Reasons for Allowance				
3. Examiner's Comment Regarding Requirement for Deposit of Biological Material	7. 🔲 Other					
4. Interview Summary (PTO-413), Paper No./Mail Date						
/DENNIS M BUTLER/						
Primary Examiner, Art Unit 2115						

# Index of Claims 12713220 Examiner DENNIS M BUTLER Applicant(s)/Patent Under Reexamination WOLFE ET AL. Art Unit 2115

<b>✓</b>	Rejected	-	Cancelled	N	Non-Elected	A	Appeal	
=	Allowed	÷	Restricted	I	Interference	0	Objected	
	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47							
	OLAIM.							

☐ Claims	renumbered	in the same	order as pre	esented by a	applicant		□ СРА	□ т.с	D. 🗆	R.1.47
CL	AIM		DATE							
Final	Original	08/23/2012	02/06/2013							
	1	✓	=							
	2	✓	=							
	3	✓	=							
	4	✓	=							
	5	✓	=							
	6	✓	=							
	7	✓	=							
	8	✓	=							
	9	✓	=							
	10	✓	=							
	11	✓	=							
	12	✓	=							
	13	✓	=							
	14	✓	=							
	15	✓	=							
	16	✓	=							
	17	✓	=							
	18	✓	=							
	19	✓	=							
	20	✓	=							
	21	✓	=							
	22	✓	=							
	23	✓	=							

# Search Notes 12713220 Examiner DENNIS M BUTLER Applicant(s)/Patent Under Reexamination WOLFE ET AL. Art Unit 2115

	CPC- SEARCHED							
	Symbol	Date	Examiner					
	CPC COMBINATION SETS - SEAR	CHED						
	Symbol	Date	Examiner					
US CLASSIFICATION SEARCHED								
Class	Subclass	Date	Examiner					

SEARCH NOTES		
Search Notes	Date	Examiner
EAST search attached.	8/22/2012	DB
EAST search attached.	2/6/2013	DB

INTERFERENCE SEARCH					
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner		
713	320, 322, 501	2/6/2013	DB		

# **EAST Search History**

## **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	51739	(plurality multi multiple) adj2 core	US-PGPUB; USPAT	OR	ON	2013/02/06 14:13
L2	22700	1 near5 (processor microprocessor cpu mpu)	US-PGPUB; USPAT	OR	ON	2013/02/06 14:14
L3	3095	1 near5 (set group\$3)	US-PGPUB; USPAT	OR	ON	2013/02/06 14:15
L4	2162	2 and 3	US-PGPUB; USPAT	OR	ON	2013/02/06 14:16
L5	36	3 same (domain island)	US-PGPUB; USPAT	OR	ON	2013/02/06 14:20
L6	17	5 and voltage and (clock frequency)	US-PGPUB; USPAT	OR	ON	2013/02/06 14:21
L7	2544	first adj2 pll	US-PGPUB; USPAT	OR	ON	2013/02/06 14:43
L8	2714	second adj2 pll	US-PGPUB; USPAT	OR	ON	2013/02/06 14:44
L9	2354	second adj2 (phase adj lock\$2 adj loop)	US-PGPUB; USPAT	OR	ON	2013/02/06 14:45
L10	2131	first adj2 (phase adj lock\$2 adj loop)	US-PGPUB; USPAT	OR	ON	2013/02/06 14:46
L11	4110	7 or 10	US-PGPUB; USPAT	OR	ON	2013/02/06 14:46
L12	4631	8 or 9	US-PGPUB; USPAT	OR	ON	2013/02/06 14:46
L13	302	4 and voltage and (clock frequency)	US-PGPUB; USPAT	OR	ON	2013/02/06 14:47
L14	1	11 and 12 and 13	US-PGPUB; USPAT	OR	ON	2013/02/06 14:48

# **EAST Search History (Interference)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L15	52331	(plurality multi multiple) adj2 core	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 14:49
L16	23119	15 near5 (processor microprocessor cpu mpu)	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 14:50
L17	3146	15 near5 (set group\$3)	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 14:51
L18	2202	16 and 17	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 14:51
L19	2547	first adj2 pll	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 14:56
L20	2133	first adj2 (phase adj lock\$2 adj loop)	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 14:56
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Cusi	5 1.27	74-00023-DC-DTO D0Cum	1111 23-4 1 11C	u 12/04/24	i age	, 00 01 133
L21	2720	second adj2 pll	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 14:57
L22	2355	second adj2 (phase adj lock\$2 adj loop)	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 14:57
L23	4114	19 or 20	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 14:59
L24	4637	21 or 22	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 14:59
L25	308	18 and voltage and (clock frequency)	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 15:01
L26	1	23 and 24 and 25	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 15:02
L27	404378	(set group\$3) near5 (communicat\$3 exchang\$3 pass\$3)	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 15:06
L28	1	18 and 24 and 25 and 27	US-PGPUB; USPAT; UPAD	OR	ON	2013/02/06 15:08

2/6/2013 3:10:23 PM

C:\ Users\ dbutler1\ Documents\ EAST\ Workspaces\ 502930.wsp

# Case 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 69 of 195

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12713220	WOLFE ET AL.
	Examiner	Art Unit
	DENNIS M BUTLER	2115

PC					
/mbol		Туре	Version		

CPC Combination Sets						
Symbol		Туре	Set	Ranking	Version	

US ORIGINAL CLASSIFICATION					INTERNATIONAL CLASSIFICATION										
	CLASS		;	SUBCLASS			CLAIMED NON-CLAIMED			NON-CLAIMED			CLAIMED		
713			322				0	6	F	1 / 08 (2006.01.01)					
OBOOO BEEEBENOE(O)					G	0	6	F	1 / 32 (2006.01.01)						
	CROSS REFERENCE(S)														
CLASS	SUE	CLASS (ONE	SUBCLAS	S PER BLO	CK)										
713	320	501													

NONE	Total Claims Allowed:				
(Assistant Examiner)	(Date)	23			
/DENNIS M BUTLER/ Primary Examiner.Art Unit 2115	2/6/2013	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	3		

# Case 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 70 of 195

Issue Classification			Application/Control No. 12713220							Applicant(s)/Patent Under Reexamination WOLFE ET AL.						
			DENNIS M BUTLER							Art Unit 2115						
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NONE	Total Claims Allowed:				
(Assistant Examiner)	(Date)	23			
/DENNIS M BUTLER/ Primary Examiner.Art Unit 2115	2/6/2013	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	3		

# Case 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 71 of 195

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12713220	WOLFE ET AL.
	Examiner	Art Unit

	Claims renumbered in the same order as presented by applicant   CPA T.D.						☐ R.1.47								
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1	17	17												
2	2	18	18												
3	3	19	19												
4	4	20	20												
5	5	21	21												
8	6	22	22												
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12	10														
13	11														
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7	13														
14	14														
15	15														
16	16					_		_							_

NONE	Total Claims Allowed:			
(Assistant Examiner)	(Date)	23		
/DENNIS M BUTLER/ Primary Examiner.Art Unit 2115	2/6/2013	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	3	

# Case 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 72 of 195

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/713,220	02/26/2010	Andrew WOLFE	121-0019-US-REG	4243		
	7590 12/03/201 INTERNATIONAL IP	EXAMINER				
GENE I. SU		BUTLER, DENNIS				
TAIPEI, 106	C. 2, DUN HUA S. RC	)AD	ART UNIT	PAPER NUMBER		
TAIWAN			2115			
			NOTIFICATION DATE	DELIVERY MODE		
			12/03/2012	ELECTRONIC		

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

gsu@suipconsulting.com filing@suipconsulting.com gene.i.su@gmail.com Case 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 73 of 195

	Application No.	Applicant(s)				
Applicant-Initiated Interview Summary	12/713,220	WOLFE ET AL.				
Applicant-initiated linerview Summary	Examiner	Art Unit				
	DENNIS M. BUTLER	2115				
All participants (applicant, applicant's representative, PTO	personnel):					
(1) <u>Dennis Butler (examiner 2115)</u> .	(3)					
(2) Gene Su (applicant's representative).	(4)					
Date of Interview: 27 November 2012.						
Type:   Telephonic  Video Conference  Personal [copy given to:  applicant  applicant's representative]						
Exhibit shown or demonstration conducted:						
Issues Discussed □101 ☑112 ☑102 ☑103 □Others (For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)						
Claim(s) discussed: <u>1,10-13,15 and 18</u> .						
Identification of prior art discussed: <u>Jacobowitz (2009/0106576)</u> , <u>Kim (2009/0138737)</u> and von Kaenel (2010/0188115).						
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, arguments.)		dentification or clarification of a				
Claims 15 and 18 were discussed regarding the 112, second to amend the claims to clarify that communication is between 10-13, 15 and 18 were discussed regarding the art rejection referred to figure 3 of the specification and stated that clock signals input to the PLLs while Jacobowitz disclosed using a Jacobowitz discloses using a single reference clock and that disclosed by Jacobowitz. However, the broadest reasonable	n the first and second sets of s under 35 USC 102 and 103. signals 1 through 3 were differ a single reference clock. The eat clock signals 1 through 3 of the signals 1 through 3 of through 3 of the signals 1 through 3 of the signals 1 through 3 of thr	processor cores. Claims 1, Applicant's representative rent/independent clock examiner agreed that figure 3 are different than				
first set of processor cores configured to dynamically receive oscillators of Jacobowitz and also reads on the output of the representative stated that he would discuss amends to the figure 3.	PLLs shown in applicant's fig	ure 3. Applicant's				
Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview						
<b>Examiner recordation instructions</b> : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.						
Attachment						
/DENNIS M BUTLER/ Primary Examiner, Art Unit 2115						

U.S. Patent and Trademark Office PTOL-413 (Rev. 8/11/2010)

# Case 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24 Page 74 of 195 Summary of Record of Interview Requirements

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner.
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: *֍֍֍֍֍֍֍֍֍֍֍֍* Andrew WOLFE Group Art Unit: 2115 Serial No.: 12/713,220 Confirmation No.: 4243 Examiner: BUTLER, DENNIS Filed: February 26, 2010 For: PROCESSOR CORE COMMUNICATION IN MULTI-**CORE PROCESSOR** 

# MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

# **RESPONSE TO OFFICE ACTION DATED AUGUST 29, 2012**

In response to the non-final Office Action dated August 29, 2012 having a shortened statutory period for response set to expire on November 29, 2012, please enter this response and reconsider the claims pending in the application for the reasons discussed below. Although Applicant believes that no fees are due in connection with this response, the Commissioner is hereby authorized to charge Counsel's Deposit Account No. 50-4588/121-0019-US-REG for any fees, including extension of time fees or excess claims fees, required to make this response timely and acceptable to the Office.

Amendments to the Specification are reflected on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper. Remarks begin on page 8 of this paper.

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#### IN THE SPECIFICATION:

The following paragraph will replace paragraph [0017] in the as filed application:

[0017] Processing for the transition processing routine [[300]]400 may begin at operation [[302]]402, "receive clock frequency change request." Operation [[302]]402 may be followed by operation [[304]]404, "idle communication between stripes." Operation [[304]]404 may be followed by operation [[306]]406, "examine PLL blocks of requesting stripe and adjacent stripe(s)." Operation [[306]]406 may be followed by operation [[308]]408, "does each of PLL blocks acquire a lock?" Operation [[308]]408 may be followed by either operation [[306]]406 when the decision logic tested at block [[308]]408 fails to be satisfied (NO), or operation [[310]]410, "determine whether to resume communication between stripes", when the decision logic tested at block [[308]]408 is satisfied (YES). Processing for the routine may terminate after block [[310]]410.

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#### IN THE CLAIMS:

The listing of claims will replace all prior versions, and listings, of claims in the application.

- 1. (Currently Amended) A multi-core processor, comprising:
  - a first set of processor cores of the multi-core processor, wherein each processor core from the first set of processor cores is configured to dynamically receive a first supply voltage and a first <u>output\_clock signal\_of a first phase lock loop (PLL)</u> having a first clock signal as input;
  - a second set of processor cores of the multi-core processor, wherein each processor core from the second set of processor cores is configured to dynamically receive a second supply voltage and a second <u>output</u> clock signal <u>of a second PLL having a second clock signal as input, wherein the first supply voltage is independent from the second supply voltage, and the first clock signal is independent from the second clock signal; and</u>
  - an interface block coupled to the first set of processor cores and also coupled to the second set of processor cores, wherein the interface block is configured to facilitate communication between the first set of processor cores and the second set of processor cores.
- 2. (Original) The multi-core processor of claim 1, the interface block further comprising a first level shifter that is referenced to the second supply voltage and adapted to translate first logic levels associated with the first set of processor cores to second logic levels associated with the second set of processor cores for a first signal traveling from the first set of processor cores to the second set of processor cores.
- 3. (Original) The multi-core processor of claim 1, the interface block further comprising a second level shifter that is referenced to the first supply voltage and adapted to translate second logic levels associated with the second set of processor cores to first logic levels associated with the first set of processor cores for a second signal traveling from the second set of processor cores to the first set of processor cores.
- 4. (Original) The multi-core processor of claim 1, wherein the interface block further comprises a synchronizer configured to synchronize the first clock signal and the second clock

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signal for communication between one or more processor cores of the first set of processor cores and one or more processor cores of the second set of processor cores.

- 5. (Original) The multi-core processor of claim 1, wherein the first set of processor cores and the second set of processor cores are configured to receive one or more control signals from one or more control blocks located in a periphery of the multi-core processor.
- 6. (Original) The multi-core processor of claim 1, wherein the first set of processor cores are located in a first region of the multi-core processor, and the second set of processor cores are located in a second region of the multi-core processor.
- 7. (Original) The multi-core processor of claim 6, wherein the first region and the second region are overlapping regions of the multi-core processor.
- 8. (Original) The multi-core processor of claim 6, wherein the first region and the second region are non-overlapping regions of the multi-core processor.
- 9. (Original) The multi-core processor of claim 6, wherein the first region corresponds to a first row of the multi-core processor, and wherein the second region corresponds to a second row of the multi-core processor.
- 10. (Currently Amended) The multi-core processor of claim 1, wherein the interface block is configured to idle communications between the first set of processor cores and the second set of processor cores when one or more of the first <u>output</u> clock signal and/or the second <u>output</u> clock signal is determined to have changed.
- 11. (Currently Amended) The multi-core processor of claim 10, wherein the interface block is configured to resume communication between the first set of processor cores and the second set of processor cores after one or more of the first <u>output</u> clock signal and/or the second <u>output</u> clock signal is determined to have stabilized.
- 12. (Original) The multi-core processor of claim 5, wherein the first set of processor cores is adjacent to the second set of processor cores, and the one or more control blocks are

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configured to select the first supply voltage and the second supply voltage to maintain a differential relationship between the first supply voltage and the second supply voltage.

- 13. (Original) The multi-core processor of claim 12, wherein the differential relationship is based on having an output voltage level associated with the first set of processor cores to be within an acceptable input voltage level associated with the second set of processor cores.
- 14. (Original) The multi-core processor of claim 1, wherein the first set of processor cores and the second set of processor cores are configured to receive one or more control signals from one or more control blocks located in a common region that is substantially central to the first set of processor cores and the second set of processor cores.
- 15. (Currently Amended) A method for managing communications in a multi-core processor that includes a plurality of processor cores having a first set of processor cores and a second set of processor cores, the method comprising:
  - idling communications with one or more of the plurality of processor coresbetween the first set of processor cores and the second set of processor cores in response to a clock frequency change request for the first set of processor cores; and
  - resuming communications with one or more of the plurality of processor coresbetween the first set of processor cores and the second set of processor cores after having determined that a first phase lock loop operation associated with a first clock signal for the first set of processor cores has acquired a first lock signal and a second phase lock loop operation associated with a second clock signal for the second set of processor cores has also acquired a second lock signal, wherein the first clock signal is independent from the second clock signal.
- 16. (Original) The method of claim 15, wherein resuming communications further comprising having determined that a third phase lock loop operation associated with a third set of processor cores in the multi-core processor has acquired a third lock signal, wherein the third set of processor cores is adjacent to the first set of processor cores.
- 17. (Original) The method of claim 16, wherein the second set of processor cores is adjacent to the first set of processor cores.

- 18. (Currently Amended) A non-transistory computer-readable medium containing a sequence of instructions for managing communications in a multi-core processor that includes a plurality of processor cores having a first set of processor cores and a second set of processor cores, which when executed by a computing device, causes the computing device to:
  - issue a first command to idle communications with one or more of the plurality of processor coresbetween the first set of processor cores and the second set of processor cores in response to a clock frequency change request for the first set of processor cores;
  - issue a second command to resume communications with one or more of the plurality of processor cores between the first set of processor cores and the second set of processor cores after having determined that a first phase lock loop operation associated with a first clock signal for the first set of processor cores has acquired a first lock signal and a second phase lock loop operation associated with a second clock signal for the second set of processor cores has also acquired a second lock signal, wherein the first clock signal is independent from the second clock signal.
- 19. (Currently Amended) The non-transistory computer-readable medium of claim 18, further including a sequence of instructions, which when executed by the computing device, causes the computing device to determine whether a third phase lock loop operation associated with a third set of processor cores in the multi-core processor has acquired a third lock signal before issuing the second command, wherein the third set of processor cores is adjacent to the first set of processor cores.
- 20. (Currently Amended) The non-transistory computer-readable medium of claim 19, wherein the second set of processor cores is adjacent to the first set of processor cores.
- (Currently Amended) A multi-core processor, comprising: 21.
  - a first set of processor cores of the multi-core processor, wherein each processor core from the first set of processor cores is configured to dynamically receive a first supply voltage from a power control block and a first output clock signal from a first phase lock loop (PLL) having a first clock signal as input in a clock control block;
  - a second set of processor cores of the multi-core processor, wherein each processor core from the second set of processor cores is configured to dynamically receive

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a second supply voltage from the power control block and a second <u>output</u> clock signal from <u>a second PLL having a second clock signal as input in the clock control block, wherein the first supply voltage is independent from the second supply voltage, and the first clock signal is independent from the second clock <u>signal</u>; and</u>

- an interface block coupled to the first set of processor cores and also coupled to the second set of processor cores, wherein the interface block is configured to facilitate communication between the first set of processor cores and the second set of processor cores.
- 22. (Currently Amended) The multi-core processor of claim [[1]]21, wherein the interface block is configured to idle communications between the first set of processor cores and the second set of processor cores when one or more of the first <u>output</u> clock signal and/or the second <u>output</u> clock signal is determined to have changed.
- 23. (Currently Amended) The multi-core processor of claim [[21]]22, wherein the interface block is configured to resume communication between the first set of processor cores and the second set of processor cores after one or more of the first <u>output</u> clock signal and/or the second <u>output</u> clock signal is determined to have stabilized.

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#### **REMARKS**

This is intended as a full and complete response to the Office Action dated August 29, 2012, having a shortened statutory period for response set to expire on November 29, 2012. By way of this reply, Applicant is amending claims 1, 10-11, 15, and 18-23. Claims 1 – 23 are pending. Applicant is also amending paragraph [0017] in the as filed application, which describes FIG. 4, to correct typographical errors. No new matter has been added.

#### **Examiner Interview**

Applicant thanks Examiner Butler for the November 27, 2012 telephone interview. The interview included discussions of the 35 U.S.C. § 101 rejections, 35 U.S.C. § 112 rejections, 35 U.S.C. § 102, and 35 U.S.C. § 103 rejections in view of the references cited in the Office Action. An agreement was reached regarding the 35 U.S.C. § 101 rejections and the 35 U.S.C. § 112 rejections. Pending the Examiner's further searches, the Examiner acknowledges that the architecture shown and described in the present disclosure differs from the currently cited references.

Applicant respectfully submits that the claims as presented in this response substantially reflect the discussions during the interview.

#### Claim Objections

Claims 22 - 23 are objected to under 37 CFR 1.75 as allegedly being substantial duplicates of claims 10 and 11. Claims 22 - 23 have been amended to depend on claims 21 and 22, respectively. Claim 21 also recites different elements than claim 1 (e.g., a power control block and a clock control block). Applicant respectfully requests the withdrawal of the claim objections.

# 35 U.S.C. § 101 Rejection

Claims 18 – 20 are rejected under 35 U.S.C. §101, because the claimed invention is allegedly directed to non-statutory subject matter. The suggested "non-transitory" language has been added, and Applicant respectfully requests the withdrawal of the rejections under 35 U.S.C. §101.

# 35 U.S.C. § 112 Rejection

Claims 15 – 20 are rejected under 35 U.S.C. §112, second paragraph for being allegedly indefinite and failing to particularly point out and distinctly claim the subject matter which Applicant regards as the invention. As discussed during the interview, Applicant has amended

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independent claims 15 and 18 to clarify that the communication is "between the first set of processor cores and the second set of processor cores." The amendments are at least supported by paragraph [0018] and FIG. 1, FIG. 3, and FIG. 4 of the as filed application. Applicant respectfully requests the withdrawal of the rejections under 35 U.S.C. §112.

# 35 U.S.C. § 102 Rejections

Claims 1, 5 - 6, 8 - 9, 14 and 21 are rejected under 35 U.S.C. §102(e) as being allegedly anticipated by U.S. Patent Application Publication 2009/0106576 (hereinafter Jacobowitz).

Applicant does not concede that the above reference is prior art and reserves the right to challenge the reference at a later date. Further, Applicant respectfully submits that the rejections are overcome for at least the reasons stated below.

To anticipate a claim, the alleged reference must teach each and every element of the claim. Applicant respectfully submits that Jacobowitz does not teach or suggest one or more elements of the amended independent claims 1 and 21. Specifically, Jacobowitz does not teach or suggest at least the elements of "a first set of processor cores... configured to dynamically receive a first supply voltage and a first output clock signal of a first phase lock loop (LLP) having a first clock signal as input," "a second set of processor cores... configured to dynamically received a second supply voltage and a second output clock signal of a second PLL having a second clock signal as input, wherein the first supply voltage is independent from the second supply voltage, and the first clock signal is independent from the second clock signal." The claim amendments are at least supported by paragraph [0015] and FIG. 3 of the as filed application.

First, Jacobowitz fails to disclose or teach a first set of processor cores and second set of processor cores configured to dynamically receive a first supply voltage and a second supply voltage, respectively. In addition, the first supply voltage is independent from the second supply voltage, as required in the amended independent claims 1 and 21. Paragraph [0043] of Jacobowitz merely mentions that "[f]urther power management can be realized by controlling the power supply voltage (Vdd) to each core and/or chip." In other words, Jacobowitz is silent with respect to least the recited different sets of processor cores configured to receive independent supply voltages.

Second, FIG. 6 and all other figures of Jacobowitz clearly show that the microprocessor chip (e.g., 600) receives a system reference oscillator clock frequency ( $v_R$ ) and distributes  $v_R$  to local oscillators 108. See Jacobowitz, paragraphs [0037]-[0038] and FIG. 6. Jacobowitz fails to

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disclose or teach a first set of processor cores and second set of processor cores configured to dynamically receive a first output clock signal of a first PLL having a first clock signal as input and a second output clock signal of a second PLL having a second clock signal as input, respectively. In addition, the first clock signal is independent from the second clock signal, as required in the amended independent claims 1 and 21.

For at least the reasons set forth above, the amended independent claims 1 and 21 and the related dependent claims 2 - 14 and 22 - 23 are patentable over *Jacobowitz*. Applicant respectfully requests the withdrawal of the rejections under 35 U.S.C. §102.

# 35 U.S.C. § 103 Rejections

Claims 2 - 4, 7, 12 and 13 are rejected under 35 U.S.C. §103(a) as being allegedly unpatentable over Jacobowitz in view of U.S. Patent Application Publication 2009/0138737 (hereinafter Kim).

Claims 10 - 11, 15 - 20 and 22 - 23 rejected under 35 U.S.C. §103(a) as being allegedly unpatentable over Jacobowitz in view of Kim and further in view of U.S. Patent Application Publication 2010/0188115 (hereinafter von Kaenel).

Applicant does not concede that the aforementioned references are prior art and reserves the right to challenge these references at a later date. Further Applicant respectfully submits that these rejections are overcome for at least the reasons stated below.

To establish a prima facie case of obviousness required for a §103(a) rejection, the references must teach or suggest all the claim elements.

# Claims 2 - 4, 7, 12 and 13

As discussed during the interview, Kim discloses a different architecture than the one recited in the present disclosure. Specifically, as shown in FIG. 1 and FIG. 2 of Kim, Kim fails to disclose or teach a first set of processor cores and second set of processor cores configured to dynamically receive a first supply voltage and a second supply voltage, which is independent from the first supply voltage, respectively. Instead, Kim discloses having each core, not a set of processor cores, received a  $V_{DD}$  (i.e.,  $V_{DD1}$ ,  $V_{DD2}$ ,  $V_{DD3}$ , and  $V_{DD4}$ ).

In addition, Kim also fails to disclose or teach a first set of processor cores and second set of processor cores configured to dynamically receive a first output clock signal of a first PLL having a first clock signal as input and a second output clock signal of a second PLL having a second clock signal, respectively. In addition, the first clock signal is independent from the second clock signal. Instead, Kim discloses the apparatus comprising a multi-core processor (i.e., 100 in FIG. 1 or 200 in FIG. 2) having a single clock source (i.e., 170 in FIG. 1 or 270 in

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FIG. 2). The clock signal from this single clock source is then processed (i.e., divided or multiplied) and provided to each of the cores. <u>See Kim</u>, paragraphs [0024]-[0025] and FIGs 1 – 2.

Moreover, the Examiner acknowledges that *Jacobowitz* does not disclose the recited first and second level shifters in claims 2 and 3. See Office Action, page 7. *Kim* merely discloses a voltage level-translating communication transceiver 180 that is "configured to enable communications between each of the plurality of cores 110, 120, 130, and 140." See *Kim*, paragraph [0024]. *Kim* is silent with respect to the recited "first level shifter that is referenced to the second supply voltage" (which is independent from the first supply voltage) and "adapted to translate... for a first signal traveling from the first set of processor cores to the second set of processor cores" in claim 2. *Kim* is also silent with respect to the recited "second level shifter that is referenced to the first supply voltage" and "adapted to translate... for a second signal traveling from the second set of processor cores to the first set of processor cores."

For at least the reasons set forth above, Kim fails to cure the deficiencies of Jacobowitz.

#### Claims 10 - 11, 15, 18 and 22 - 23

The Examiner acknowledges neither *Jacobowitz* nor *Kim* discloses the recited operations of idling communications and resuming communications. <u>See</u> Office Action, page 8. Applicant respectfully submits that none of *Jacobowitz*, *Kim*, and *von Kaenel* discloses or teaches at least "resuming communications... after having determined that a first phase lock loop operation <u>associated with a first clock signal</u> for the first set of processor cores has acquired a first lock signal and a second phase lock loop operation <u>associated with a second clock signal</u> for the second set of processor cores has also acquired a second lock signal, wherein the <u>first clock signal</u> is independent from the second clock signal," as required in the amended independent claims 15 and 18.

As discussed above, neither *Jacobowitz* nor *Kim* discloses having sets of processor cores configured to receive multiple and independent clock signals. In conjunction with its FIG. 9, *von Kaenel* merely describes "wait[ing] for the clock generation circuit to lock to the new frequency (block 148)" in paragraph [0074]. *von Kaenel* is silent with respect to at least the recited elements of "idling communication... in response to a clock frequency change request" and "resuming communication" after having determined the acquisition of PLL lock signals associated with multiple independent clock signals.

For at least the reasons set forth above, *von Kaenel* fails to cure the deficiencies of *Jacobowitz* and *Kim*.

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Thus, claims 2-4, 7, 10-13, 15-20, and 22-23 are patentable over *Jacobowitz*, *Kim*, and von Kaenel. Applicant respectfully requests the withdrawal of the rejections under 35 U.S.C. §103.

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# CONCLUSION

Having addressed all issues set out in the office action, Applicant respectfully submits that the claims are in condition for allowance and respectfully requests that the claims be allowed. If there are any questions about any of the foregoing, please contact Applicant's undersigned representative.

Respectfully submitted,

/Gene Su/

Gene Su Attorney for Applicant(s) Registration No. 45,140

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Case 7:24-cv-00029-DC-DTG Doc Electronic Ac	<del>cument 29-4 Filed 12/04/24 Page 88 of 195</del> knowledgement Receipt
EFS ID:	14346671
Application Number:	12713220
International Application Number:	
Confirmation Number:	4243
Title of Invention:	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR
First Named Inventor/Applicant Name:	Andrew WOLFE
Customer Number:	83446
Filer:	Gene I. Su
Filer Authorized By:	
Attorney Docket Number:	121-0019-US-REG
Receipt Date:	29-NOV-2012
Filing Date:	26-FEB-2010
Time Stamp:	22:23:08
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment/Req. Reconsideration-After	121-0019-US-REG_ROA.pdf	96145	no	13
'	Non-Final Reject	121 0015 05 NEG_NO/N.pdi	03109273c69e0784d954fcd6c734ea6d911 0a82c		

# **Warnings:**

Information:

Document 29-4 Filed 12/0 Total Files Size (in bytes):

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

# National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875											Filing Date 1/26/2010	
	Al	PPLICATION A		Column 2)		SMALL	ENTITY	OR		HER THAN ALL ENTITY		
	FOR	N	JMBER FIL	.ED	NUN	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A			N/A		N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A			N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A			N/A		N/A			N/A	
	TAL CLAIMS CFR 1.16(i))		min	us 20 = '	*			X \$ =		OR	X \$ =	
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If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).						n size fee due for each 1 thereof. See						
	MULTIPLE DEPEN	IDENT CLAIM PR	ESENT (3	7 CFR 1.16(	j))							
* If 1	he difference in colu	umn 1 is less than	zero, ente	r "0" in colu	ımn 2.			TOTAL			TOTAL	
	APP	(Column 1)	AMEND	(Colum	nn 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
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** If *** I	FEE FEE  * If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.											

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/713,220	02/26/2010	Andrew WOLFE	121-0019-US-REG	4243		
	7590	<sup>2</sup> MANAGEMENT LTD.	EXAM	INER		
GENE I. SU	_	-	BUTLER, DENNIS			
7F, NO. 57, SE TAIPEI, 106	C. 2, DUN HUA S. RO	ART UNIT	PAPER NUMBER			
TAIWAN			2115			
			NOTIFICATION DATE	DELIVERY MODE		
			08/29/2012	ELECTRONIC		

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

gsu@suipconsulting.com filing@suipconsulting.com gene.i.su@gmail.com

	Application No.	Applicant(s)		
	Application No.			
Office Astion Commence	12/713,220	WOLFE ET AL.		
Office Action Summary	Examiner	Art Unit		
	DENNIS M. BUTLER	2115		
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address		
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DATE of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory period was a Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be time will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).		
Status				
1) Responsive to communication(s) filed on 26 Fe	<u>ebruary 2010</u> .			
2a) This action is <b>FINAL</b> . 2b) ☐ This	action is non-final.			
3) An election was made by the applicant in response	·			
; the restriction requirement and election have been incorporated into this action.				
4) Since this application is in condition for allowar	·			
closed in accordance with the practice under E	x parte Quayie, 1935 С.D. 11, 45	53 O.G. 213.		
Disposition of Claims				
5) Claim(s) <u>1-23</u> is/are pending in the application.				
5a) Of the above claim(s) is/are withdrav	vn from consideration.			
6) Claim(s) is/are allowed.				
7) Claim(s) <u>1-23</u> is/are rejected.				
8) Claim(s) is/are objected to.	coloction requirement			
9) Claim(s) are subject to restriction and/or	election requirement.			
Application Papers				
10)☐ The specification is objected to by the Examine				
11)⊠ The drawing(s) filed on <u>26 February 2010</u> is/are	e: a)⊠ accepted or b)□ objected	d to by the Examiner.		
Applicant may not request that any objection to the o				
Replacement drawing sheet(s) including the correcti		* *		
12) ☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.		
Priority under 35 U.S.C. § 119				
<ul> <li>13) Acknowledgment is made of a claim for foreign</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents</li> <li>2. Certified copies of the priority documents</li> </ul>	s have been received.			
3. Copies of the certified copies of the prior	• •			
application from the International Bureau		od III tilio ivational otago		
* See the attached detailed Office action for a list of		d.		
	.,,			
Attachment(s)				
1) Notice of References Cited (PTO-892)	4) Interview Summary			
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO/SB/08)</li> <li>Paper No(s)/Mail Date 8/2/2011.</li> </ul>	Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:			

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This action is in response to the application filed on February 26, 2010. Claims 1-23 are pending.

# Claim Objections

Applicant is advised that should claims 10 and 11 be found allowable, claims 22 and 23 will be objected to under 37 CFR 1.75 as being a substantial duplicate thereof. When two claims in an application are duplicates or else are so close in content that they both cover the same thing, despite a slight difference in wording, it is proper after allowing one claim to object to the other as being a substantial duplicate of the allowed claim. See MPEP § 706.03(k).

# Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 18-20 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

The claims are directed to a medium that has been defined in the specification as a transmission type medium such as a wireless communication channel or link (at paragraph 22) that is a signal. Signals are not statutory under 35 USC 101 because they do not fit any of the four statutory categories. The

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claim language would be improved if applicant amended the claims to recite "a non-transitory computer readable medium".

# Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 15-20 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding claims 15 and 18, the claims are vague and indefinite as to the relationship between idling/resuming communications with one or more of the plurality of processor cores and the first and second sets of processor cores. The claims are unclear whether the communications are between one or more cores and some internal or external component, between cores of the same set or between cores of different sets.

Claims 16-17 and 19-20 are rejected because they incorporate the deficiencies of claims 15 and 18 respectively.

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

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A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

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Claims 1, 5-6, 8-9, 14 and 21 are rejected under 35 U.S.C. 102(e) as being anticipated by Jacobowitz et al., U.S. Patent Application Publication 2009/0106576.

# Per claim 1:

- A) Jacobowitz et al teach the following claimed items:
- 1. a first set of processor cores of the multi-core processor configured to dynamically receive a first supply voltage and a first clock signal with the V0 cores 102 (top set) of figure 6 and paragraphs 32, 34, 37-38 and 43;
- 2. a second set of processor cores of the multi-core processor configured to dynamically receive a second supply voltage and a second clock signal with the V1 cores 102 (bottom set) of figure 6 and paragraphs 32, 34, 37-38 and 43;
- 3. an interface block coupled to the first and second sets of cores and configured to facilitate communication between the first and second sets of cores with internal cluster fabric 206, MCM fabric controller 208 and fabric 210 of figure 2 and paragraph 28.

Per claims 5-6, 8-9 and 14:

Jacobowitz discloses that the first and second sets of cores are configured to receive control signals from one or more control blocks located in a periphery of

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the multi-core processor with the 2<sup>ND</sup> level distribution function block and/or the local store vData and distribution block. Jacobowitz discloses locating the first set of cores in a first region/row and the second set of cores in a second region/row. the regions are non-overlapping with figure 6. Jacobowitz discloses that the first and second sets of cores are configured to receive control signals from one or more control blocks located in a common region of the multi-core processor with the 2<sup>ND</sup> level distribution function block 502.

# Per claim 21:

- Jacobowitz et al teach the following claimed items: A)
- 1. a first set of processor cores of the multi-core processor configured to dynamically receive a first supply voltage from a power control block (local power grid controller) and a first clock signal from a clock control block (local core clock controller) with the V0 cores 102 (top set) of figure 6 and paragraphs 32, 34, 37-38 and 43;
- 2. a second set of processor cores of the multi-core processor configured to dynamically receive a second supply voltage from a power control block (local power grid controller) and a second clock signal from a clock control block (local core clock controller) with the V1 cores 102 (bottom set) of figure 6 and paragraphs 32, 34, 37-38 and 43;
- 3. an interface block coupled to the first and second sets of cores and configured to facilitate communication between the first and second sets of cores

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with internal cluster fabric 206, MCM fabric controller 208 and fabric 210 of figure 2 and paragraph 28.

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 2-4, 7, 12 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jacobowitz et al., U.S. Patent Application Publication 2009/0106576 in view of Kim et al., U.S. Patent Application Publication 2009/0138737.

Per claims 2 and 3:

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Jacobowitz discloses a multi-core processor including an interface block configured to facilitate communication as described above in connection to the rejection of claim 1. Jacobowitz does not disclose first and second level shifters adapted to translate logic levels as claimed. Kim teaches that it is known to include first and second level shifters adapted to translate logic levels in a multi-core processor with voltage level translating communication transceiver 180 of figure 1 and at paragraph 24. It would have been obvious to one having ordinary skill in the art at the time the invention was made to include first and second level shifters adapted to translate logic levels in a multi-core processor, as taught by Kim, in order to enable communication between each of the cores that are operating at different voltage levels.

Per claims 4, 7, 12 and 13:

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include a synchronizer in the multi-core processor of Jacobowitz because Jacobowitz discloses providing dynamically programmable local oscillators for each set of cores and synchronizing the core clock signals would allow for synchronous communication of data between the cores.

Jacobowitz discloses placing sets of cores in non-overlapping regions of the processor. However, placing sets of cores in overlapping regions of the processor is an obvious design choice and would have been obvious to one of ordinary skill in the art in view of Jacobowitz's disclosure of including a plurality of sets of cores in a multi-core processor. Kim discloses maintaining a differential

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relationship between the first and second supply voltages with voltage level translating communication transceiver 180 of figure 1 and at paragraph 24.

Claims 10-11,15-20 and 22-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jacobowitz et al., U.S. Patent Application Publication 2009/0106576 in view of Kim et al., U.S. Patent Application Publication 2009/0138737 and further in view of von Kaenel, U.S. Patent Application Publication 2010/0188115.

Per claims 10-11,15, 18 and 22-23:

Jacobowitz discloses a multi-core processor including first and second sets of cores and an interface block configured to facilitate communication between cores as described above in connection to the rejection of claim 1. Jacobowitz does not disclose idling communications and resuming communications as claimed. Kim discloses that it is known to use first and second PLLs for managing communications in a multi-core processor with PLL1-4 of figure 2 and paragraph 25. While Kim does not explicitly disclose idling communications in response to a change in frequency request and resuming communications when the PLLs have locked/stabilized the requested clock, von Kaenel discloses that it is known to stop core activity when changing frequency and waiting until lock before resuming activity with figure 9 and paragraphs 73-76. It would have been obvious to one having ordinary skill in the art at the time the invention was made to idle communications with one or more of the plurality of cores in response to a clock frequency change request for the first set of cores and resume

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communications with one or more of the plurality of cores after having determined that a first phase lock loop operation associated with the first set of cores has acquired a first lock signal and a second phase lock loop operation associated with the second set of cores has also acquired a second lock signal in order to avoid the unpredictable effects of communicating while the PLL is being programmed with the new frequency and has not locked on the new frequency. Per claims 16-17 and 19-20:

It would have been obvious to one having ordinary skill in the art at the time the invention was made to add a third PLL for a third set of processor cores in order to gain the cumulative effect of increasing processing power and/or flexibility by increasing the number of sets of processor cores. Jacobowitz discloses locating sets of cores adjacent to each other with figures 2 and 6.

# Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DENNIS M. BUTLER whose telephone number is (571)272-3663. The examiner can normally be reached on M-F from 9:00 to 5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas Lee, can be reached on 571-272-3667. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for

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published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://portal.uspto.gov/external/portal.

Should you have questions on access to the Private PAIR system, contact the

Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/DENNIS M BUTLER/
Primary Examiner, Art Unit 2115

DENNIS M BUTLER Primary Examiner Art Unit 2115

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Notice of References Cited	Application/Control No. 12/713,220	Applicant(s)/Patent Under Reexamination WOLFE ET AL.	
Notice of freferences offed	Examiner	Art Unit	
	DENNIS M. BUTLER	2115	Page 1 of 1

# U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-2010/0188115	07-2010	von Kaenel, Vincent R.	326/16
*	В	US-2010/0162018	06-2010	SUBRAMANIAN et al.	713/322
*	С	US-2009/0106576	04-2009	Jacobowitz et al.	713/501
*	D	US-2009/0138737	05-2009	Kim et al.	713/322
*	Е	US-7,248,838	07-2007	Goodnow et al.	455/70
*	F	US-8,225,315	07-2012	Cheng et al.	718/1
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#### **NON-PATENT DOCUMENTS**

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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Document 29-4 Filed 12/04/24

Doc description: Information Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

	Application Number		12713220	
	Filing Date		2010-02-26	
	First Named Inventor Andre		w WOLFE	
	Art Unit		2115	
	,		THOMAS C	
			121-0019-US-REG	

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	)ate	Name of Pate of cited Docu	entee or Applicant ment	Relev	es,Columns,Lines where vant Passages or Releva es Appear	
/D.B./	1	7735037		2010-06	6-08	TELL		para [	document, especially Absi [0030], [0035], [0054], [005 ], [0163]	
/D.B./	2	7853808		2010-12	?-14	KIM et al.		Fig.2; [0011	document, especially Abst para [0004], [0005], [0006], ], [0032], [0034], [0035], [00 ], [0048], [0054]	],
/D.B./	3	7263457		2007-08	3-28	WHITE et al.		para [	document, especially Absi [0004], [0006], [0009], [001 ], [0032], [0033], [0037]	
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Receipt date: 08/02/2011	Document 29-4 Application Number	FIIC	12713220	Page 104 of 195 127 13220 - GAU: 2115
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STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2115	
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# **BIB DATA SHEET**

# **CONFIRMATION NO. 4243**

SERIAL NUM	BER	FILING or DAT	371(c)		CLASS	GRO	OUP ART	UNIT	ATTC	RNEY DOCKET
12/713,22	:0	02/26/2			713		2115		121	-0019-US-REG
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# Search Notes Application/Control No. 12713220 Examiner DENNIS M BUTLER Applicant(s)/Patent Under Reexamination WOLFE ET AL. Art Unit 2115

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Class	Subclass	Date	Examine

SEARCH NOTES		
Search Notes	Date	Examiner
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Class	Subclass	Date	Examiner

U.S. Patent and Trademark Office Part of Paper No.: 20120822

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	12713220	WOLFE ET AL.
	Examiner	Art Unit
	DENNIS M BUTLER	2115

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# **EAST Search History**

# **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	3	("7263457"   "7735037"	US-PGPUB;	OR	ON	2012/08/22
		"7853808").PN.	USPAT			10:43
L2	5638	((plurality multi multiple) adj3 core)	US-PGPUB;	OR	ON	2012/08/22
		with (set group\$3)	USPAT			11:06
L3	76	2 same (domain island)		OR	ON	2012/08/22
			USPAT			11:11
L4	20	3 and voltage and (clock frequency)	US-PGPUB; USPAT	OR	ON	2012/08/22 11:11
L5	11	4 and (interfac\$3 near5 core)	US-PGPUB:	OP	ON	2012/08/22
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L6	9	4 not 5	US-PGPUB;	OR	ON	2012/08/22
			USPAT			11:33
L7	76170	phase\$1 adj lock\$2 adj loop\$2	US-PGPUB;	OR	ON	2012/08/22
			USPAT			12:04
L8	21563	lock\$2 adj2 signal		OR	ON	2012/08/22
			USPAT			12:05
L9	3681	7 same 8	US-PGPUB; USPAT	OR	ON	2012/08/22 12:05
110	2567	7 with 8	<u>;                                  </u>	OR	ON	2012/08/22
L10	2007	/ WILLI O	USPAT	UR	ON	12:05
L11	26	2 and 10		OR	ON	2012/08/22
			USPAT	0.1		12:06
L12	2019	(core near3 (set group\$3)) with	US-PGPUB;	OR	ON	2012/08/22
		(communicat\$3 exchang\$3 pass\$3)	USPAT			12:09
L13	1	11 and 12	31	OR	ON	2012/08/22
			USPAT			12:09
L14	25	11 not 13	US-PGPUB; USPAT	OR	ON	2012/08/22 12:10
L15	4	10 and 10		OR		
LID	1	10 and 12	US-PGPUB; USPAT	UR	ON	2012/08/22 12:16
L16	1	9 and 12	US-PGPUB;	OR	ON	2012/08/22
	·		USPAT	0.1		12:17
L17	126534	core with (communicat\$3 exchang\$3	US-PGPUB;	OR	ON	2012/08/22
		pass\$3)	USPAT			12:18
L18	194	10 and 17	US-PGPUB;	OR	ON	2012/08/22
			USPAT			12:19
L19	8	18 and (chang\$3 adj3 (clock	US-PGPUB; USPAT	OR	ON	2012/08/22 12:20
	000	frequency))	<u>/                                  </u>		ON	<u> </u>
L20	200	9 and 17	US-PGPUB; USPAT	OR	ON	2012/08/22 12:42
L21	68	20 and (chang\$3 adj3 (clock	US-PGPUB;	OR	ON	2012/08/22
<u></u> 1		frequency))	USPAT			12:42
L22	60	21 not 19	US-PGPUB;	OR	ON	2012/08/22
			USPAT			12:42

9	5436 7.24 CV 00023 DC DTO Document 23 4 Thea 12/04/24 Tage 103 of 136						
L	23	2019	12 same 17	US-PGPUB;	OR	ON	2012/08/22
<u></u>				USPAT			12:53
L	24	1	9 and 23	US-PGPUB;	OR	ON	2012/08/22
1				USPAT			12:54
L	25	67	7 and 23	US-PGPUB;	OR	ON	2012/08/22
1				USPAT			12:54
	26	1	8 and 23	US-PGPUB;	OR	ON	2012/08/22
				USPAT			12:54
IL	27	66	25 not (26 or 21)	US-PGPUB;	OR	ON	2012/08/22
				USPAT			12:58

8/22/2012 2:01:32 PM

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### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

 APPLICATION NUMBER
 FILING OR 371(C) DATE
 FIRST NAMED APPLICANT
 ATTY. DOCKET NO./TITLE

 12/713.220
 02/26/2010
 Andrew WOLFE
 121-0019-US-REG

CONFIRMATION NO. 4243

**PUBLICATION NOTICE** 

83446
REN-SHENG INTERNATIONAL IP MANAGEMENT LTD.

GENE I. SU 7F, NO. 57, SEC. 2, DUN HUA S. ROAD

TAIPEI, 106 TAIWAN Title:PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR

Publication No.US-2011-0213991-A1

Publication Date:09/01/2011

#### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

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Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

### PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

To: GENE SU REN-SHENG INTERNATIONAL IP MANAGEMENT LTD. 7F, NO. 57, SEC. 2, DUN HUA S. ROAD 106 TAIPEI TAIWAN, PROVINCE OF CHINA	PCT  NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION  (PCT Rule 44.1)		
	Date of mailing (day/month/year) 17 MAY 2011		
Applicant's or agent's file reference 121-0019-PCT	FOR FURTHER ACTION See paragraphs 1 and 4 below		
International application No. PCT/US 11/24477	International filing date (day/month/year) 11 February 2011 (11.02.2011)		
Applicant EMPIRE TECHNOLOGY DEVELOPMENT L	LC		
Authority have been established and are transmitted he Filing of amendments and statement under Article I The applicant is entitled, if he so wishes, to amend the When? The time limit for filing such amendme international search report.  Where? Directly to the International Bureau of WI 1211 Geneva 20, Switzerland, Facsimile N For more detailed instructions, see PCT Applicant  2. The applicant is hereby notified that no international Article 17(2)(a) to that effect and the written opinion o  3. With regard to any protest against payment of (an) a the protest together with the decision thereon h request to forward the texts of both the protest a no decision has been made yet on the protest; to	9: claims of the international application (see Rule 46): nts is normally two months from the date of transmittal of the PO, 34 chemin des Colombettes		
The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau. The International Bureau will send a copy of such comments to all designated Offices unless an international preliminary examination report has been or is to be established. Following the expiration of 30 months from the priority date, these comments will also be made available to the public.  Shortly after the expiration of 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau before the completion of the technical preparations for international publication (Rules 90bis.1 and 90bis.3).  Within 19 months from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later); otherwise, the applicant must, within 20 months from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices.  In respect of other designated Offices, the time limit of 30 months (or later) will apply even if no demand is filed within 19 months.  For details about the applicable time limits, Office by Office, see www.wipo.int/pct/en/texts/time_limits.html and the PCT Applicant's Guide, National Chapters.			
Name and mailing address of the ISA/	Authorized officer		

Name and mailing address of the ISA/	Authorized officer
Mail Stop PCT, Attn: ISA/US Commissioner for Patents	Lee W. Young
P.O. Box 1450, Alexandria, Virginia 22313-1450	PCT Helpdesk: 571-272-4300
Facsimile No. 571-273-3201	Telephone No. PCT OSP: 571-272-7774

Form PCT/ISA/220 (July 2010)

## PATENT COOPERATION TREATY

# PCT

### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 121-0019-PCT	FOR FURTHER ACTION	as well	see Form PCT/ISA/220 as, where applicable, item 5 below.
International application No.	International filing date (day/	month/year)	(Earliest) Priority Date (day/month/year)
PCT/US 11/24477	11 February 2011 (11.02.2011)		26 February 2010 (26.02.2010)
Applicant EMPIRE TECHNOLOGY DEVELOPMENT	LLC		
according to Article 18. A copy is being This international search report consists	g transmitted to the Internationa	l Bureau.	Authority and is transmitted to the applicant report.
1. Basis of the report			
a. With regard to the language, the			asis of:
	lication in the language in whic	h it was filed.	
	nternational application intoed for the purposes of internatio	nal search (Ru	which is the language of the l
b This international search r		ing into accou	nt the rectification of an obvious mistake
			the international application, see Box No. 1.
2. Certain claims were found	d unsearchable (see Box No. II	).	
3. Unity of invention is lacki	ing (see Box No. III).		
4. With regard to the title,			
the text is approved as sub	mitted by the applicant.		
the text has been established	ed by this Authority to read as fo	ołlows:	
5. With regard to the abstract,			
the text is approved as sub	mitted by the applicant.		
the text has been establishe may, within one month from	ed, according to Rule 38.2, by the n the date of mailing of this inte	is Authority as	s it appears in Box No. IV. The applicant th report, submit comments to this Authority.
6. With regard to the drawings,			
a. the figure of the drawings to be	published with the abstract is F	igure No. 2	
as suggested by the a	pplicant.		
as selected by this A	uthority, because the applicant f	ailed to sugges	st a figure.
as selected by this A	uthority, because this figure bett	er characterize	es the invention.
b. none of the figures is to be	published with the abstract.		

Form PCT/ISA/210 (first sheet) (July 2009)

## INTERNATIONAL SEARCH REPORT

International application No. PCT/US 11/24477

A. CLASSIFICATION OF SUBJECT MATTER IPC(8) - G06F 13/36 (2011.01) USPC - 710/306							
According to International Patent Classification (IPC) or to both national classification and IPC							
B. FIELDS SEARCHED							
Minimum documentation searched (classification system followed by USPC: 710/306	classification symbols)						
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched JSPC: 710/1, 33, 56, 315; 370/351, 389, 402; 702/127, 130, 132; 714/699, 746, 752, 755; 711/E12.023 (keyword limited - see search erms below)							
Electronic data base consulted during the international search (name of PubWEST (PGPB, USPT, USOC, EPAB, JPAB); GOOGLE; Google Terms: processors, multi, core, chip, die, cache, interface, communi shifter, differential.	Scholar	,					
C. DOCUMENTS CONSIDERED TO BE RELEVANT							
Category* Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.					
Y US 2007/0174586 A1 (TELL) 26 July 2007 (26.07.200 para [0030], [0035], [0054], [0058], [0127], [0163]	7) entire document, especially Abstract;	1-20					
Y US 2008/0178023 A1 (KIM et al.) 24 July 2008 (24.07. Abstract; Fig. 2; para [0004], [0005], [0006], [0011], [00 1004], [0048], [0054]		1-20					
A US 2007/0156370 A1 (WHITE et al.) 05 July 2007 (05 Abstract; para [0004], [0006], [0009], [0010], [0017], [0		1-20					
Further documents are listed in the continuation of Box C.							
"A" document defining the general state of the art which is not considered to be of particular relevance	A document defining the general state of the art which is not considered date and not in conflict with the application but cited to understand						
'E" earlier application or patent but published on or after the international filing date  "X" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is taken alone							
cited to establish the publication date of another citation or other special reason (as specified)  "Y"  document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is							
odcument referring to an oral disclosure, use, exhibition or other means combined with one or more other such documents, such combination being obvious to a person skilled in the art document published prior to the international filing date but later than the priority date claimed document member of the same patent family							
Date of the actual completion of the international search	Date of mailing of the international search	ch report					
09 May 2011 (09.05.2011)	1 7 MAY 201	•					
Name and mailing address of the ISA/US	Authorized officer:	<u>-</u>					
Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450	Lee W. Young						
Facsimile No. 571-273-3201	PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774						

Form PCT/ISA/210 (second sheet) (July 2009)

### PATENT COOPERATION TREATY

#### From the

INTERNATIONAL SEARCHING AUTHORITY

To: GENE SU
REN-SHENG INTERNATIONAL IP
MANAGEMENT LTD.
7F, NO. 57, SEC. 2, DUN HUA S. ROAD
106 TAIPEI
TAIWAN, PROVINCE OF CHINA

# **PCT**

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

(PCT Rule 43bis.1)

		Date of mailing (day/month/year)	17 MAY 2011
Applicant's or agent's file reference 121-0019-PCT		FOR FURTHER ACTION See paragraph 2 below	
International application No. PCT/US 11/24477	International filing date 11 February 2011 (	• • •	Priority date (day/month/year) 26 February 2010 (26.02.2010)
International Patent Classification (IPC) of IPC(8) - G06F 13/36 (2011.01) USPC - 710/306	or both national classificat	ion and IPC	
Applicant EMPIRE TECHNOLOGY	DEVELOPMENT LL	С	

1.	This c	opinion contains indications relating to the following items:					
	$\boxtimes$	Box No. I	Basis of the opinion				
	Box No. II Priority						
		Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability				
		Box No. IV	Lack of unity of invention				
	$\boxtimes$	Box No. V	Reasoned statement under Rule $43bis$ . $I(a)(i)$ with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement				
		Box No. VI	Certain documents cited				
		Box No. VII	Certain defects in the international application				
		Box No. VIII	Certain observations on the international application				
2.	FURTHER ACTION  If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1 bis(b) that written opinions of this International Searching Authority will not be so considered.  If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.  For further options, see Form PCT/ISA/220.						
3.	For further details, see notes to Form PCT/ISA/220.						

Name and mailing address of the ISA/US
Mail Stop PCT, Attn: ISA/US
Commissioner for Patents
P.O. Box 1450, Alexandria, Virginia 22313-1450
Facsimile No. 571-273-3201

Date of completion of this opinion

Authorized officer:

Lee W. Young

PCT Helpdesk: 571-272-4300
PCT OSP: 571-272-7774

Form PCT/ISA/237 (cover sheet) (July 2009)

# Case 7:24-cv-00029-DC-DTG Document 29 PCT/US 2014/Q244 77e 175 Q512911

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US 11/24477

Box	No. I	Basis of this opinion
1.	With r	egard to the language, this opinion has been established on the basis of:
	X	the international application in the language in which it was filed.
		a translation of the international application into which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2.		This opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43bis.1(a))
3.		egard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been shed on the basis of a sequence listing filed or furnished:
	a. (m	eans)
	Ļ	on paper
	L	in electronic form
	b. (tir	¬ ·
		in the international application as filed
	<u> </u>	together with the international application in electronic form
	L	subsequently to this Authority for the purposes of search
4.		In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
5.	Additio	onal comments:
		é

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US 11/24477

Box No. V	Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement				
1. Statemer	nt				
Nove	lty (N)	Claims	1-20	YES	
		Claims	None	NO	
Inven	tive step (IS)	Claims	None	YES	
		Claims	1-20	· NO	
Indus	trial applicability (IA)	Claims	1-20	YES	
		Claims	None	NO	

#### Citations and explanations:

Claims 1-20 lack an inventive step under PCT Article 33(3) as being obvious over US 2007/0174586 A1 (Tell) in view of US 2008/0178023 A1 to Kim et al. (hereinafter, 'Kim').

Regarding claim 1, Tell teaches a multi-core processor (processor 54 may comprise any one of several alternative processing circuit cores capable of executing machine-readable instructions provided according to a programmable processing instruction set, para [0058]), comprising: a first set of processor cores of the multi-core processor, wherein each processor core from the first set of processor cores is configured to dynamically receive a first supply voltage and a first clock signal (processor 54 may at least in part control and/or adjust one or more timing characteristics and/or one or more voltage characteristics of signals 84 in multiple, independent closed feedback loops, para [0054]). Tell does not expressly teach a second set of processor cores of the multi-core processor, wherein each processor core from the second set of processor cores is configured to dynamically receive a second supply voltage and a second clock signal; and an interface block coupled to the first set of processor cores and also coupled to the second set of processor cores, wherein the interface block is configured to facilitate communication between the first set of processor cores and the second set of processor cores. However Kim, in an analogous art, teaches a second set of processor cores of the multi-core processor, wherein each processor core from the second set of processor cores is configured to dynamically receive a second supply voltage and a second clock signal (on a multi-core processor system chip by supplying a nominal power supply voltage to a first processing core and a second core power supply voltage to a second processing core, the second core power supply voltage greater or lower than the nominal power supply voltage, para [0005]); and an interface block coupled to the first set of processor cores and also coupled to the second set of processor cores, wherein the interface block is configured to facilitate communication between the first set of processor cores and the second set of processor cores (controlled by a controller 210. It is known that each of the individual processing cores 202, 204, 206, 208 may evidence divergent clock rates f.sub.CLK, k in response to similar operational inputs and operating environments. For example, in response to the same nominal power supply voltage V.sub.DDnom the first core 102 may exhibit an impermissibly slow clock rate relative to a minimum reference clock speed (f.sub.CLK, k,<f.sub.spec), the second core 104 may exhibit an impermissibly fast clock rate relative to a maximum clock speed, para [0032]; Fig.2). It would have been obvious to one skilled in the art to combine the teachings of Tell with those of Kim in order to more efficiently tailor individual operating environments in a multi core processor system (see Kim para [0004]-[0005]).

Regarding claim 2, Kim further teaches the interface block further comprising a first level shifter that is referenced to the second supply voltage and adapted to translate first logic levels associated with the first set of processor cores to second logic levels associated with the second set of processor cores for a first signal traveling from the first set of processor cores to the second set of processor cores (raise or lower each core supply voltage V.sub.DD, k as needed until each core meets specifications, thus enabling the MCP chip to pass both minimum and maximum core clock rate specifications in the present example, para [0043]).

Regarding claim 3, Kim further teaches the interface block further comprising a second level shifter that is referenced to the first supply voltage and adapted to translate second logic levels associated with the second set of processor cores to first logic levels associated with the first set of processor cores for a second signal traveling from the second set of processor cores to the first set of processor cores (repetitive lowering iterations in order to enable graduated and/or incremental voltage lowering of each supply voltage V.sub.DD, k (for example, at steps 310 and 312 above) by the controller, which may gradually lower a core supply voltage V.sub.DD, k for each core 202,204,206,208 to determine the lowest supply voltage at which each individual core will evidence a clock rate required by specifications, para [0044]).

Regarding claim 4, Kim further teaches wherein the interface block further comprises a synchronizer configured to synchronize the first clock signal and the second clock signal for communication between one or more processor cores of the first set of processor cores and one or more processor cores of the second set of processor cores (if individual cores are required to meet a maximum clock rate specification, then the present invention may provide a means for verifying that each core k meets said specification. Thus, in one example illustrated in FIG. 6, subsequent to determining each core's adjusted power supply voltage V.sub.Min, k at 316 or 318 as described above, the core clock rate f.sub.CLK, k at V.sub.Min, k is compared to a specified maximum rate, para [0048]).

Regarding claim 5, Tell further teaches wherein the first set of processor cores and the second set of processor cores are configured to receive one or more control signals from one or more control blocks located in a periphery of the multi-core processor (Comparator 480 compares the incoming data signals from lines 330 with a reference voltage level, V.sub.ref, which is adjusted by threshold control circuitry 490. Threshold control circuitry 490 may respond to an adjustment signal from processor 54 stored in threshold control register, para [0127]).

--- continued in supplemental box---

Form PCT/ISA/237 (Box No. V) (July 2009)

# Document 29 PCT/US 2004/2024 70 17, 05, 2011

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US 11/24477

#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box No. V.2. Citations and explanations.

Regarding claim 6, Kim further teaches wherein the first set of processor cores are located in a first region of the multi-core processor, and the second set of processor cores are located in a second region of the multi-core processor (first and second individual processing cores formed on a unitary chip structure, each core connected to first and second adjustable power supplies, para [0011]).

Regarding claim 7, Kim further teaches wherein the first region and the second region are overlapping regions of the multi-core processor (first and second individual processing cores formed on a unitary chip structure, each core connected to first and second adjustable power supplies, para [0011]; the MCP 712 can read and/or write data to/from memory 732, storage system 716, and/or I/O interface, para [0054]).

Regarding claim 8, Kim further teaches wherein the first region and the second region are non-overlapping regions of the multi-core processor (first and second individual processing cores formed on a unitary chip structure, each core connected to first and second adjustable power supplies, para [0011]; the MCP 712 can read and/or write data to/from memory 732, storage system 716, and/or I/O interface, para [0054]).

Regarding claim 9, Kim further teaches wherein the first region corresponds to a first row of the multi-core processor, and wherein the second region corresponds to a second row of the multi-core processor (Fig. 2, communication block 220 may be located between the controller 210 and the cores 202,204,206,208, para [0035]).

Regarding claim 10, Kim further teaches wherein the interface block is configured to idle communications between the first set of processor cores and the second set of processor cores when one or more of the first clock signal and/or the second clock signal is determined to have changed (raise the clock rate of cores that do not meet a minimum reference clock speed, thereby enabling an otherwise failing MCP chip 200 to pass a minimum reference clock specification, para [0043]).

Regarding claim 11, Kim further teaches wherein the interface block is configured to resume communication between the first set of processor cores and the second set of processor cores after one or more of the first clock signal and/or the second clock signal is determined to have stabilized (raise the clock rate of cores that do not meet a minimum reference clock speed, thereby enabling an otherwise failing MCP chip 200 to pass a minimum reference clock specification, para [0043]).

Regarding claim 12, Tell further teaches wherein the first set of processor cores is adjacent to the second set of processor cores, and the one or more control blocks are configured to select the first supply voltage and the second supply voltage to maintain a differential relationship between the first supply voltage and the second supply voltage (the interconnection between circuit elements or circuit blocks may be shown or described as multi-conductor or single conductor signal lines. Each of the multi-conductor signal lines may alternatively be single-conductor signal lines, and each of the single-conductor signal lines may alternatively be multi-conductor signal lines. Signals and signaling paths shown or described as being single-ended may also be differential, para [0163]).

Regarding claim 13, Kim further teaches wherein the differential relationship is based on having an output voltage level associated with the first set of processor cores to be within an acceptable input voltage level associated with the second set of processor cores (controller 210 means is configured to individually select and adjust a supply voltage V.sub.DD, k supplied to each core 202,204,206,208 by its respective adjustable power supply, para [0034]).

Regarding claim 14, Kim further teaches wherein the first set of processor cores and the second set of processor cores are configured to receive one or more control signals from one or more control blocks located in a common region that is substantially central to the first set of processor cores and the second set of processor cores (Comparator 480 compares the incoming data signals from lines 330 with a reference voltage level, V.sub.ref, which is adjusted by threshold control circuitry 490. Threshold control circuitry 490 may respond to an adjustment signal from processor 54 stored in threshold control register, para [0127]).

Regarding claim 15, Tell teaches a method for managing communications (a link may carry or communicate information in the form of a signal from a transmitting device to a receiving device, para [0030]) in a multi-core processor that includes a plurality of processor cores having a first set of processor cores and a second set of processor cores (processor 54 may comprise any one of several alternative processing circuit cores capable of executing machine-readable instructions provided according to a programmable processing instruction set, para [0058]), the method comprising: resuming communications with one or more of the plurality of processor cores after having determined that a first phase lock loop operation associated with the first set of processor cores has acquired a second lock signal and a second phase lock loop operation associated with the second set of processor cores has also acquired a second lock signal (a timing comparison signal may indicate a difference between a phase of an input signal and a phase of a reference signal, para [0035]). Tell does not expressly teach idling communications with one or more of the plurality of processor cores in response to a clock frequency change request for the first set of processor cores. However Kim, in an analogous art, teaches idling communications with one or more of the plurality of processor cores in response to a clock frequency change request for the first set of processor cores (raise the clock rate of cores that do not meet a minimum reference clock speed, thereby enabling an otherwise failing MCP chip 200 to pass a minimum reference clock speed, thereby enabling an otherwise failing MCP chip 200 to pass a minimum reference clock speed, thereby enabling an otherwise failing MCP chip 200 to pass a minimum reference clock speed, thereby enabling an otherwise failing MCP chip 200 to pass a minimum reference clock speed, thereby enabling an otherwise failing MCP chip 200 to pass a minimum reference clock speed, thereby enabling an otherwise failing MC

Regarding claim 16, Tell further teaches wherein resuming communications further comprising having determined that a third phase lock loop operation associated with a third set of processor cores in the multi-core processor has acquired a third lock signal, wherein the third set of processor cores is adjacent to the first set of processor cores (a timing comparison signal may indicate a difference between a phase of an input signal and a phase of a reference signal, para [0035]).

---continued in supplemental box---

Case 7:24-cv-00029-DC-DTG Document 29 PCT/US 2014/2024 A 70 178 05:2011

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US 11/24477

#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box No. V.2. Citations and explanations.

Regarding claim 17, Kim further teaches wherein the second set of processor cores is adjacent to the first set of processor cores (first and second individual processing cores formed on a unitary chip structure, each core connected to first and second adjustable power supplies, para [0011]; the MCP 712 can read and/or write data to/from memory 732, storage system 716, and/or I/O interface, para [0054]).

Regarding claim 18, Tell teaches a computer-readable medium containing a sequence of instructions for managing communications (a link may carry or communicate information in the form of a signal from a transmitting device to a receiving device, para (00301) in a multi-core processor that includes a plurality of processor cores having a first set of processor cores and a second set of processor cores (processor 54 may comprise any one of several alternative processing circuit cores capable of executing machine-readable instructions provided according to a programmable processing instruction set, para [0058]), which when executed by a computing device, causes the computing device to: issue a second command to resume communications with one or more of the plurality of processor cores after having determined that a first phase lock loop operation associated with the first set of processor cores has acquired a first lock signal and a second phase lock loop operation associated with the second set of processor cores has also acquired a second lock signal (a timing comparison signal may indicate a difference between a phase of an input signal and a phase of a reference signal, para [0035]). Tell does not expressly teach issue a first command to idle communications with one or more of the plurality of processor cores in response to a clock frequency change request for the first set of processor cores. However Kim, in an analogous art, teaches issue a first command to idle communications with one or more of the plurality of processor cores in response to a clock frequency change request for the first set of processor cores (raise the clock rate of cores that do not meet a minimum reference clock speed, thereby enabling an otherwise failing MCP chip 200 to pass a minimum reference clock specification, para [0043]). It would have been obvious to one skilled in the art to combine the teachings of Tell with those of Kim in order to more efficiently tailor individual operating environments in a multi core processor system (see Kim para [0004]-[0005]).

Regarding claim 19, Tell further teaches a sequence of instructions, which when executed by the computing device, causes the computing device to determine whether a third phase lock loop operation associated with a third set of processor cores in the multi-core processor has acquired a third lock signal before issuing the second command, wherein the third set of processor cores is adjacent to the first set of processor cores (a timing comparison signal may indicate a difference between a phase of an input signal and a phase of a reference signal, para [0035]).

Regarding claim 20, Kim further teaches wherein the second set of processor cores is adjacent to the first set of processor cores (first and second individual processing cores formed on a unitary chip structure, each core connected to first and second adjustable power supplies, para [0011]; the MCP 712 can read and/or write data to/from memory 732, storage system 716, and/or I/O interface, para [0054]).

Claims 1-20 have industrial applicabilit	y as defined b	y PCT Article 33(4	) because the sub	piect matter can be made	or used in industry.

# SEARCH HISTORY

Application Number	PCT/US 11/24477
Search Conducted By	вок
Search Approved By	RC/RLP

US/IPC Classifications Searched	USPC: 710/306
Date Conducted	09 May 2011 (09.05.2011)

Documentation Searched	USPC: 710/1, 33, 56, 315; 370/351, 389, 402; 702/127, 130, 132; 714/699, 746, 752, 755; 711/E12.023 (keyword limited; terms below)
Search Terms Used	processors multi core chip die cache interface communication voltage clock shift power supply synchronize timing shifter differential
Date Conducted	09 May 2011 (09.05.2011)

Electronic Database Searched	PubWEST
Files Searched	PGPB, USPT, EPAB, JPAB
Date Conducted	09 <b>M</b> ay 2011 (09.05.2011)
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<u>L15</u>	L12 and differential\$1		1 <u>L</u>	<u>.15</u>	<u>L15</u>	
<u>L14</u>	L12 and shifter\$1		0 <u>L</u>	.14	<u>L14</u>	

# SEARCH HISTORY

<u>L13</u>	L12 and (synchroniz\$3 near5 (clock\$1 or tim\$3))	2,	<u>L13</u>	<u>L13</u>
<u>L12</u>	L8 and synchroniz\$3	12	<u>L12</u>	<u>L12</u>
<u>L11</u>	L10 and (suppl\$3 near5 voit\$4)	2	<u>L11</u>	<u>L11</u>
<u>L10</u>	L8 and (power near5 suppl\$3)	5	<u>L10</u>	<u>L10</u>
<u>L9</u>	L8 and (volt\$4 near5 (shift\$3 or adjust\$3))	1	<u>L9</u>	<u>L9</u>
<u>L8</u> <u>L7</u>	L6 and clock\$1	37	<u>L8</u>	<u>L8</u>
<u>L7</u>	L6 and voltage\$1	9	<u>L7</u>	<u>L7</u>
<u>L6</u> <u>L5</u>	L5 and (communicat\$4 or messag\$3)	71	<u>L6</u>	<u>L6</u>
	L4 and interfac\$3	75	<u>L5</u>	<u>L5</u>
<u>L4</u>	L3 and (chip\$1 or die\$1)	82	<u>L4</u>	<u>L4</u>
<u>L3</u>	L2 and (multi near4 (core\$1 or processor\$1))	119	<u>L3</u>	<u>L3</u>
<u>L2</u>	L1 and processors	501	<u>L2</u>	<u>L2</u>
<u>L1</u>	710/306[ccls]	1168	<u>L1</u>	<u>L1</u>

END OF SEARCH HISTORY

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<u>L31</u>	L29 and differential\$1			8	<u>L31</u>	<u>L31</u>
<u>L30</u>	L29 and shifter\$1			1	<u>L30</u>	<u>L30</u>
<u>L29</u>	L24 and (synchroniz\$3 near5 (	clock\$1 or tim\$3))		13	<u>L29</u>	<u>L29</u>
<u>L28</u>	L27 and synchroniz\$3			1	<u>L28</u>	<u>L28</u>
<u>L27</u>	L26 and (suppl\$3 near5 volt\$4	)		6	<u>L27</u>	<u>L27</u>
<u>L26</u>	L25 and (power near5 suppl\$3)	)		6	<u>L26</u>	<u>L26</u>
<u>L25</u>	L24 and (volt\$4 near5 (shift\$3	or adjust\$3))		7	<u>L25</u>	<u>L25</u>
<u>L24</u>	L23 and clock\$1			35	<u>L24</u>	<u>L24</u>
<u>L23</u>	L22 and voltage\$1			48	<u>L23</u>	<u>L23</u>
<u>L22</u>	L21 and (communicat\$4 or me	ssag\$3)		267	<u>L22</u>	<u>L22</u>
<u>L21</u>	L20 and interfac\$3			276	<u>L21</u>	<u>L21</u>
<u>L20</u>	L19 and cache\$1			292	<u>L20</u>	<u>L20</u>
<u>L19</u>	L18 and (chip\$1 or die\$1)			496	<u>L19</u>	<u>L19</u>
<u>L18</u>	L17 and (multi near4 (core\$1 c	r processor\$1))		787	L18	<u>L18</u>
<u>L17</u>	L16 and processors			6144	<u>L17</u>	<u>L17</u>
<u>L16</u>	710/1[ccls] or 710/33[ccls] or	710/56[ccis] or		23991	<u>L16</u>	<u>L16</u>



710/315[ccls] or 370/351[ccls] or 370/389[ccls] or 370/402[ccls] or 702/127[ccls] or 702/130[ccls] or 702/132[ccls] or 714/699[ccls] or 714/746[ccls] or 714/752[ccls] or 714/755[ccls] or 711/E12.023[ccls]

END OF SEARCH HISTORY

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<u>L46</u>	L45 and differential\$1	0	<u>L46</u>	<u>L46</u>
<u>L45</u>	L44 and shifter\$1	6	<u>L45</u>	<u>L45</u>
<u>L44</u>	L43 and (synchroniz\$3 near5 (clock tim\$3))	\$1 or 57	<u>L44</u>	<u></u> <u>L44</u>
<u>L43</u>	L42 and synchroniz\$3	95	<u>L43</u>	<u>L43</u>
<u>L42</u>	L41 and (suppi\$3 near5 volt\$4)	161	<u>L42</u>	<u>L42</u>
<u>L41</u>	L40 and (power near5 suppl\$3)	180	<u></u> <u>L41</u>	<u>L41</u>
<u>L40</u>	L39 and (volt\$4 near5 (shift\$3 or a	djust\$3)) 229	<u>L40</u>	<u>40</u>
<u>L39</u>	L38 and clock\$1	1339	L39	<u>L39</u>
<u>L38</u>	L37 and voltage\$1	1768	L38	<u>L38</u>
<u>L37</u>	L36 and (communicat\$4 or message	\$3) 9409	<u>L37</u>	<u>L37</u>
<u>L36</u>	L35 and interfac\$3	9934	<u>L36</u>	<u>L36</u>
<u>L35</u>	L34 and cache\$1	11077	<u>L35</u>	<u>L35</u>
<u>L34</u>	L33 and (chip\$1 or die\$1)	21552	<u>L34</u>	<u>L34</u>
<u>L33</u>	L32 and (multi near4 (core\$1 or processor\$1))	41711	<u>L33</u>	<u>L33</u>
<u>L32</u>	processors	409083	<u>L32</u>	<u>L32</u>

END OF SEARCH HISTORY

Electronic Database Searched	Google
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Date Conducted	09 May 2011 (09.05.2011)



### Search Logic:

Terms: processors multi core chip die cache interface communication voltage clock shift power supply synchronize timing shifter differential

About 5,110 results (0.36 seconds)

Electronic Database Searched	Google	
Files Searched	Google Scholar	
Date Conducted	09 May 2011 (09.05.2011)	

# Search Logic:

Terms: processors multi core chip die cache interface communication voltage clock shift power supply synchronize timing shifter differential

Results 1 - 10 of about 75. (0.23 sec)

Case 7:24-cv-00029-DC-DTG Doc Electronic Ac	<del>cument 29-4 Filed 12/04/24 Page 123 of 195</del> knowledgement Receipt
EFS ID:	10653834
Application Number:	12713220
International Application Number:	
Confirmation Number:	4243
Title of Invention:	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR
First Named Inventor/Applicant Name:	Andrew WOLFE
Customer Number:	83446
Filer:	Gene I. Su
Filer Authorized By:	
Attorney Docket Number:	121-0019-US-REG
Receipt Date:	03-AUG-2011
Filing Date:	26-FEB-2010
Time Stamp:	03:56:52
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Non Patent Literature	121-0019-US-REG_NPL.pdf	609990	no	12
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Page 124 of 195

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Atty. Dkt. No. 121-0019-US-REG

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WOLFE, et al. Group Art Unit: 2115

Examiner: LEE, THOMAS C Serial No.: 12/713,220

Filed: February 26, 2010 Confirmation No.: 4243

For: PROCESSOR CORE COMMUNICATION

Commissioner for Patents P.O. Box 1450

IN MULTI-CORE PROCESSOR

Alexandria, VA 22313-1450

Dear Sir:

#### PRELIMINARY AMENDMENT

Prior to examination, please amend the application as indicated on the following pages. Payment of US\$324 pursuant to 37 CFR §1.16 for one newly added independent claim and two newly added dependent claims is also submitted with this Preliminary Amendment. Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper. Remarks begin on page 6 of this paper.

Atty. Dkt. No. 121-0019-US-REG

#### IN THE CLAIMS:

The listing of claims will replace all prior versions, and listings, of claims in the application.

- 1. (Original) A multi-core processor, comprising:
  - a first set of processor cores of the multi-core processor, wherein each processor core from the first set of processor cores is configured to dynamically receive a first supply voltage and a first clock signal;
  - a second set of processor cores of the multi-core processor, wherein each processor core from the second set of processor cores is configured to dynamically receive a second supply voltage and a second clock signal; and
  - an interface block coupled to the first set of processor cores and also coupled to the second set of processor cores, wherein the interface block is configured to facilitate communication between the first set of processor cores and the second set of processor cores.
- 2. (Original) The multi-core processor of claim 1, the interface block further comprising a first level shifter that is referenced to the second supply voltage and adapted to translate first logic levels associated with the first set of processor cores to second logic levels associated with the second set of processor cores for a first signal traveling from the first set of processor cores to the second set of processor cores.
- 3. (Original) The multi-core processor of claim 1, the interface block further comprising a second level shifter that is referenced to the first supply voltage and adapted to translate second logic levels associated with the second set of processor cores to first logic levels associated with the first set of processor cores for a second signal traveling from the second set of processor cores to the first set of processor cores.
- 4. (Original) The multi-core processor of claim 1, wherein the interface block further comprises a synchronizer configured to synchronize the first clock signal and the second clock signal for communication between one or more processor cores of the first set of processor cores and one or more processor cores of the second set of processor cores.
- (Original) The multi-core processor of claim 1, wherein the first set of processor cores 5. and the second set of processor cores are configured to receive one or more control signals from one or more control blocks located in a periphery of the multi-core processor.

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- 6. (Original) The multi-core processor of claim 1, wherein the first set of processor cores are located in a first region of the multi-core processor, and the second set of processor cores are located in a second region of the multi-core processor.
- 7. (Original) The multi-core processor of claim 6, wherein the first region and the second region are overlapping regions of the multi-core processor.
- 8. (Original) The multi-core processor of claim 6, wherein the first region and the second region are non-overlapping regions of the multi-core processor.
- 9. (Original) The multi-core processor of claim 6, wherein the first region corresponds to a first row of the multi-core processor, and wherein the second region corresponds to a second row of the multi-core processor.
- 10. (Original) The multi-core processor of claim 1, wherein the interface block is configured to idle communications between the first set of processor cores and the second set of processor cores when one or more of the first clock signal and/or the second clock signal is determined to have changed.
- 11. (Original) The multi-core processor of claim 10, wherein the interface block is configured to resume communication between the first set of processor cores and the second set of processor cores after one or more of the first clock signal and/or the second clock signal is determined to have stabilized.
- 12. (Original) The multi-core processor of claim 5, wherein the first set of processor cores is adjacent to the second set of processor cores, and the one or more control blocks are configured to select the first supply voltage and the second supply voltage to maintain a differential relationship between the first supply voltage and the second supply voltage.
- 13. (Original) The multi-core processor of claim 12, wherein the differential relationship is based on having an output voltage level associated with the first set of processor cores to be within an acceptable input voltage level associated with the second set of processor cores.
- 14. (Original) The multi-core processor of claim 1, wherein the first set of processor cores

Atty. Dkt. No. 121-0019-US-REG

and the second set of processor cores are configured to receive one or more control signals from one or more control blocks located in a common region that is substantially central to the first set of processor cores and the second set of processor cores.

- 15. (Original) A method for managing communications in a multi-core processor that includes a plurality of processor cores having a first set of processor cores and a second set of processor cores, the method comprising:
  - idling communications with one or more of the plurality of processor cores in response to a clock frequency change request for the first set of processor cores; and resuming communications with one or more of the plurality of processor cores after having determined that a first phase lock loop operation associated with the first set of processor cores has acquired a first lock signal and a second phase lock loop operation associated with the second set of processor cores has also acquired a second lock signal.
- 16. (Original) The method of claim 15, wherein resuming communications further comprising having determined that a third phase lock loop operation associated with a third set of processor cores in the multi-core processor has acquired a third lock signal, wherein the third set of processor cores is adjacent to the first set of processor cores.
- 17. (Original) The method of claim 16, wherein the second set of processor cores is adjacent to the first set of processor cores.
- 18. (Original) A computer-readable medium containing a sequence of instructions for managing communications in a multi-core processor that includes a plurality of processor cores having a first set of processor cores and a second set of processor cores, which when executed by a computing device, causes the computing device to:
  - issue a first command to idle communications with one or more of the plurality of processor cores in response to a clock frequency change request for the first set of processor cores;
  - issue a second command to resume communications with one or more of the plurality of processor cores after having determined that a first phase lock loop operation associated with the first set of processor cores has acquired a first lock signal and a second phase lock loop operation associated with the second set of processor cores has also acquired a second lock signal.

Atty. Dkt. No. 121-0019-US-REG

- 19. (Original) The computer-readable medium of claim 18, further including a sequence of instructions, which when executed by the computing device, causes the computing device to determine whether a third phase lock loop operation associated with a third set of processor cores in the multi-core processor has acquired a third lock signal before issuing the second command, wherein the third set of processor cores is adjacent to the first set of processor cores.
- 20. (Original) The computer-readable medium of claim 19, wherein the second set of processor cores is adjacent to the first set of processor cores.
- 21. (New) A multi-core processor, comprising:
  - a first set of processor cores of the multi-core processor, wherein each processor core from the first set of processor cores is configured to dynamically receive a first supply voltage from a power control block and a first clock signal from a clock control block;
  - a second set of processor cores of the multi-core processor, wherein each processor core from the second set of processor cores is configured to dynamically receive a second supply voltage from the power control block and a second clock signal from the clock control block; and
  - an interface block coupled to the first set of processor cores and also coupled to the second set of processor cores, wherein the interface block is configured to facilitate communication between the first set of processor cores and the second set of processor cores.
- 22. (New) The multi-core processor of claim 1, wherein the interface block is configured to idle communications between the first set of processor cores and the second set of processor cores when one or more of the first clock signal and/or the second clock signal is determined to have changed.
- 23. (New) The multi-core processor of claim 21, wherein the interface block is configured to resume communication between the first set of processor cores and the second set of processor cores after one or more of the first clock signal and/or the second clock signal is determined to have stabilized.

Atty. Dkt. No. 121-0019-US-REG

### **REMARKS**

Claim 21 - 23 are being introduced. Claims 1-23 are pending. No new matter has been introduced.

If there are any questions, please contact the undersigned representative.

Respectfully submitted,

/Gene Su/

Gene I. Su Attorney for Applicant(s) Reg. No. 45,140

7F, No. 57, Sec. 2, Dunhua S. Road

TAIPEI, TAIWAN

Telephone: (886) 2.2700.7882 Facsimile: (650) 644.3217

Email: filing@suipconsulting.com

Atty. Dkt. No. 121-0019-US-REG

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Andrew WOLFE Group Art Unit: 2115

Serial No.: 12/713,220 Examiner: LEE, THOMAS C

Filed: February 26, 2010 Confirmation No.: 4243

For: PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

#### INFORMATION DISCLOSURE STATEMENT

Please consider the U.S. patents and the International Search Report and the Written Opinion listed on the enclosed PTO/SB/08a form. The cited non-patent literature document is enclosed.

This statement is being filed before the receipt of a first Office Action on the merits. Although applicant believes that no fees are due in connection with this filing, the Commissioner is hereby authorized to apply any charges or credits to Deposit Account No. 50-4588 to make this filing timely and acceptable to the Office.

Respectfully submitted,

/Gene Su/

Gene I. Su Attorney for Applicant(s)

Reg. No. 45,140

7F, No. 57, Sec. 2, Dun Hua S. Road

TAIPEI, TAIWAN

Telephone: (886) 2.2700.7882 Facsimile: (650) 644.3217

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		12713220
INFORMATION DIGOLOGUES	Filing Date		2010-02-26
INFORMATION DISCLOSURE	First Named Inventor	Andre	ew WOLFE
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2115
(Not for Submission under or of K 1.55)	Examiner Name	LEE,	THOMAS C
	Attorney Docket Number	er	121-0019-US-REG

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	2	7	853808		2010-12	-14	KIM et al.		KIM et al.		KIM et al.		KIM et al.		Fig.2; [0011]	document, especially Abs para [0004], [0005], [0006 ], [0032], [0034], [0035], [0 ], [0048], [0054]	3],						
	3	7	263457		2007-08	-28	WHITE et al.		para [	document, especially Abs (0004], [0006], [0009], [001 ], [0032], [0033], [0037]													
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INFORMATION BIOOLOGUEE	Filing Date		2010-02-26		
INFORMATION DISCLOSURE	First Named Inventor	Andre	w WOLFE		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2115		
(Notion Submission under or or it not)	Examiner Name	LEE,	THOMAS C		
	Attorney Docket Number	er	121-0019-US-RE	G	

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			NON-PATENT LITERATURE DOCUM	ENTS	Remove	
Examiner Initials*	Cite No	(book	de name of the author (in CAPITAL LETTERS), title of the a k, magazine, journal, serial, symposium, catalog, etc), date, sher, city and/or country where published.		•	T5
	1		FICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCHER INTERNATIONAL SEARCHING AUTHORITY, May 17, 2011	CH REPORT AND THE	WRITTEN OPINION	
If you wis	h to ac	dd add	litional non-patent literature document citation information pl	ease click the Add b	utton Add	
			EXAMINER SIGNATURE			
Examiner	Signa	iture		Date Considered		
			reference considered, whether or not citation is in conforma rmance and not considered. Include copy of this form with r		_	
Standard ST 4 Kind of doo	r.3). <sup>3</sup> F cument	or Japa by the a	O Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office canese patent documents, the indication of the year of the reign of the Empe cappropriate symbols as indicated on the document under WIPO Standard Son is attached.	eror must precede the seri	ial number of the patent doc	ument.

Case 7:24-cv-00029-DC-DT0	Document 29-4 Application Number	File	ed <u>12/04/24                                 </u>
INFORMATION PLOOL COURT	Filing Date		2010-02-26
INFORMATION DISCLOSURE	First Named Inventor	Andre	w WOLFE
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2115
( Not for submission under 37 CFR 1.99)	Examiner Name	LEE,	THOMAS C
	Attorney Docket Numb	er	121-0019-US-REG

**CERTIFICATION STATEMENT** 

Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selecti	on(s):	
	from a foreign p	of information contained in the information atent office in a counterpart foreign applications statement. See 37 CFR 1.97(e)(1).		•
OR	1			
	foreign patent of after making rea any individual de	information contained in the information difice in a counterpart foreign application, an sonable inquiry, no item of information containsignated in 37 CFR 1.56(c) more than the 37 CFR 1.97(e)(2).	id, to the knowledge of thained in the information di	ne person signing the certification sclosure statement was known to
	See attached cer	rtification statement.		
	The fee set forth	in 37 CFR 1.17 (p) has been submitted here	ewith.	
X	A certification sta	atement is not submitted herewith.		
	ignature of the ap n of the signature.	SIGNA plicant or representative is required in accord		18. Please see CFR 1.4(d) for the
Sigr	nature	/Gene Su/	Date (YYYY-MM-DD)	2011-08-02
Nan	ne/Print	Gene Su	Registration Number	45140
pub 1.14 app requ Pate	lic which is to file of the fi	rmation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an application is estimated to take 1 hour to complete, include USPTO. Time will vary depending upon the is form and/or suggestions for reducing this k Office, U.S. Department of Commerce, P.CED FORMS TO THIS ADDRESS. <b>SEND TO</b>	on. Confidentiality is gover ding gathering, preparing e individual case. Any con burden, should be sent to D. Box 1450, Alexandria, V	rned by 35 U.S.C. 122 and 37 CFR and submitting the completed mments on the amount of time you the Chief Information Officer, U.S. /A 22313-1450. DO NOT SEND

VA 22313-1450.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent A	<b>\</b> pp	olication Fee	e Transmi	ttal			
Application Number:	12	713220					
Filing Date:	26-	Feb-2010					
Title of Invention:	PR√	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR  Andrew WOLFE					
First Named Inventor/Applicant Name:	Andrew WOLFE						
Filer:	Gene I. Su						
Attorney Docket Number:	12	1-0019-US-REG					
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Claims in excess of 20		1202	2	52	104		
Independent claims in excess of 3		1201	1	220	220		
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							

Case 7:24-cv-00029-DC-DTG Docum  Description	ent 29-4 File Fee Code	<del>2d 12/04/24</del> Quantity	Page 137 Amount	of 195 Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	324

10643707 12713220 4243
4243
4243
PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR
Andrew WOLFE
83446
Gene I. Su
121-0019-US-REG
02-AUG-2011
26-FEB-2010
06:31:45
Utility under 35 USC 111(a)

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$324
RAM confirmation Number	6133
Deposit Account	504588
Authorized User	

# File Listing:

Document Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Preliminary Amendment	121-0019-US-REG_Preliminary-	40321	no	6
ı	Freiminary Amendment	Amendment.pdf	7b224de193a9d4ee44aab34e8f22cf962e1 7fd83	110	O
Warnings:				•	
Information	ı:				
2	Transmittal Letter	121-0019-US-REG_IDS-Letter.	18945	no	1
	TIGHTSTITEGE ECCCT	pdf	f88cdaa657bf07718c5db0ded18a842c326c 6393		
Warnings:					
Information	ı:				
3	Information Disclosure Statement (IDS)	121-0019-US-REG_IDS.pdf	612278	no	4
	Form (SB08)	12. 0013 03 N20_133.pg.	41578b8e622669460e8e008b17c612fd278 31b9c		
Warnings:					
Information	i:				
4	Fee Worksheet (SB06)	fee-info.pdf	31699	no	2
·	rec wondineer (5500)	ice ino.pai	5b47d605c3c6c459425217e1032df898fc1f 7a85		_
Warnings:					
Information	1:				
		Total Files Size (in bytes)	70	3243	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Case 7:24-cv-00029-DC-DTG Do Electronic A	cknowledgement Receipt
EFS ID:	10643707
Application Number:	12713220
International Application Number:	
Confirmation Number:	4243
Title of Invention:	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR
First Named Inventor/Applicant Name:	Andrew WOLFE
Customer Number:	83446
Filer:	Gene I. Su
Filer Authorized By:	
Attorney Docket Number:	121-0019-US-REG
Receipt Date:	02-AUG-2011
Filing Date:	26-FEB-2010
Time Stamp:	06:31:45
Application Type:	Utility under 35 USC 111(a)
ment information:	

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$324
RAM confirmation Number	6133
Deposit Account	504588
Authorized User	

# File Listing:

Document Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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<del>e 7:24-cv-00029-DC-DTG E</del>	ocument 29-4 Filed 3	<del>  2/04/24 Page                                   </del>	<del>141 of 19</del> 5	•
	121-0019-US-REG_Preliminary-	40321	no	6
Tremmany rune namena	Amendment.pdf	7b224de193a9d4ee44aab34e8f22cf962e1 7fd83		J
			•	
	121-0019-US-REG_IDS-Letter	18945		
I ransmittal Letter	pdf	f88cdaa657bf07718c5db0ded18a842c326c 6393	no	1
Information Disclosure Statement (IDS)	121 0010 HS DEC IDS 45	612278		4
Form (SB08)	121-0019-03-REG_IDS.pdi	41578b8e622669460e8e008b17c612fd278 31b9c	no	4
5 W L L (CDOC)	for info malf	31699		
ree worksneet (5800)	ree-Inro.par	5b47d605c3c6c459425217e1032df898fc1f 7a85	no	2
			•	
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	Total Files Size (in bytes)	70	)3243	
	Preliminary Amendment  Transmittal Letter  Information Disclosure Statement (IDS)	Preliminary Amendment  121-0019-US-REG_Preliminary-Amendment.pdf  121-0019-US-REG_IDS-Letter. pdf  121-0019-US-REG_IDS-Letter. pdf  121-0019-US-REG_IDS-Letter. pdf  Form (SB08)  121-0019-US-REG_IDS.pdf  fee-info.pdf	121-0019-US-REG_Preliminary-Amendment.pdf	121-0019-US-REG_Preliminary-Amendment

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875							Application or Docket Number 12/713,220		Filing Date 02/26/2010		To be Mailed
APPLICATION AS FILED – PART I (Column 1) (Column 2)							OTHER THAN  SMALL ENTITY OR SMALL ENTITY				
FOR NUMBER FILED			.ED NUI	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)	
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		1	N/A	
SEARCH FEE (37 CFR 1.16(k), (i), or (m))			N/A		N/A		N/A		1	N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),	Ε	N/A		N/A		N/A			N/A	
	ΓAL CLAIMS CFR 1.16(i))		mir	us 20 = *			X \$ =		OR	X \$ =	
IND	EPENDENT CLAIM CFR 1.16(h))	IS	m	nus 3 = *			X \$ =			X \$ =	
	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).										
	MULTIPLE DEPEN	IDENT CLAIM PR	ESENT (3	7 CFR 1.16(j))							
* If t	he difference in colu	umn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			TOTAL	
APPLICATION AS AMENDED – PART II  (Column 1) (Column 2) (Column 3)							OTHER THAN SMALL ENTITY OR SMALL ENTITY				
AMENDMENT	08/02/2011	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
)ME	Total (37 CFR 1.16(i))	* 23	Minus	** 20	= 3		X \$ =		OR	X \$52=	156
H H	Independent (37 CFR 1.16(h))	* 4	Minus	***3	= 1		X \$ =		OR	X \$220=	220
٩ME	Application Si	ize Fee (37 CFR 1	Fee (37 CFR 1.16(s))								
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR			
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	376
		(Column 1)		(Column 2)	(Column 3)						
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
ENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
EN	Application Si	ize Fee (37 CFR 1	.16(s))								
AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
** If *** I	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Document code: WFEE

United States Patent and Trademark Office Sales Receipt for Accounting Date: 12/18/2012

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/713.220	02/26/2010	2189	1090	121-0019-US-REG	20	3

**CONFIRMATION NO. 4243** 

83446 GENE I. SU 17F-1, NO. 151, SEC. 4, XINYI ROAD TAIPEI, TAIWAN

\*OC00000040441055\*

**FILING RECEIPT** 

Date Mailed: 03/09/2010

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Andrew WOLFE, Los Gatos, CA; Marc Elliot LEVITT, San Jose, CA;

**Assignment For Published Patent Application** 

EMPIRE TECHNOLOGY DEVELOPMENT LLC, Wilmington, DE

Power of Attorney: The patent practitioners associated with Customer Number 83446

Domestic Priority data as claimed by applicant

**Foreign Applications** 

If Required, Foreign Filing License Granted: 03/04/2010

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/713,220** 

**Projected Publication Date:** 09/01/2011

Non-Publication Request: No

Early Publication Request: No

**Title** 

PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR

### **Preliminary Class**

711

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and quidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

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Address: COMMISSIONER FOR PATENTS
PO. Box 1450
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www.uspto.gov UNITED STATES DEPARTMENT OF COMMERCE

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 12/713,220 02/26/2010 Andrew WOLFE 121-0019-US-REG

> **CONFIRMATION NO. 4243** POA ACCEPTANCE LETTER

83446 GENE I. SU 17F-1, NO. 151, SEC. 4, XINYI ROAD TAIPEI, **TAIWAN** 



Date Mailed: 03/09/2010

## NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 02/26/2010.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/sibrahim/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

## **United States Patent Application**

#### POWER OF ATTORNEY

I, the undersigned, as an authorized representative for EMPIRE TECHNOLOGY DEVELOPMENT LLC, of 2711 Centerville Road, Suite 400, Wilmington, New Castle County, Delaware 19808, U.S.A., hereby revoke all previous powers of attorney in the following application:

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	FIRST NAMED INVENTOR	TITLE
		Andrew Wolfe	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR

I hereby appoint the Practitioner *Ren-Sheng International IP Management Ltd.*, 17F-1, No. 151, Sec. 4, XinYi Road, Taipei, Taiwan (s) associated with the following Customer Number as attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

83,446

U.S. PATENT & TRADEMARK OFFICE CUSTOMER NUMBER

Please direct all correspondence for the above-identified application to the address associated with the above-identified customer number.

For: EMPIRE TECHNOLOGY DEVELOPMEN	NT LLC
By: ERIC BELL	Title: AUTHORIZED PERSON
Signature:	Date: 2 / 2 - 1 / 0
organication.	Date.

Electronic Patent Application Fee Transmittal						
Application Number:						
Filing Date:						
Title of Invention:	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR					
First Named Inventor/Applicant Name:	ANDREW WOLFE					
Filer:	Gene I. Su					
Attorney Docket Number:	12	1-0019-US-REG				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Utility application filing		1011	1	330	330	
Utility Search Fee		1111	1	540	540	
Utility Examination Fee		1311	1	220	220	
Pages:			·			
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						

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Description	Jocumen	Fee Code	Quantity	+ <del>Page 150</del> Amount	Sub-Total in
•					USD(\$)
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					
Miscellaneous:					
		Tot	al in USD	(\$)	1090

Case 7:24-cv-00029-DC-DTG Doc Electronic Ac	<del>cument 29-4 Filed 12/04/24 Page 151 of 195</del> knowledgement Receipt
EFS ID:	7093670
Application Number:	12713220
International Application Number:	
Confirmation Number:	4243
Title of Invention:	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR
First Named Inventor/Applicant Name:	ANDREW WOLFE
Customer Number:	83446
Filer:	Gene I. Su
Filer Authorized By:	
Attorney Docket Number:	121-0019-US-REG
Receipt Date:	26-FEB-2010
Filing Date:	
Time Stamp:	09:35:08
Application Type:	Utility under 35 USC 111(a)
Daymant information.	

## **Payment information:**

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Application Data Sheet	121-0019-US-REG ADS.pdf	964796	no	4
1	Application Data Sheet	121 0019 03 NEG_AD3.pdi	99a0d44e83941bd6d3e61ebdaa33e84bd4 5c63ea	***	

## **Warnings:**

## Information:

Case	<del>: 7:24-cv-00029-DC-DTG</del>	Document 29-4 Filed:	<del>12/04/24 Page</del>	152 of 195		
2	Oath or Declaration filed	121-0019-US-REG_Executed- DEC.pdf	213072	no	4	
		523.psi	169e09eddf3d0e5eb5888954072e1976d33 18dfa			
Warnings:						
Information:						
3	Drawings-only black and white line	121-0019-US-REG_Drawings.	96755	no	5	
	drawings	pdf	b1df2a3d11a5622e08103b4531dd92a5b1 7b6f1b			
Warnings:						
Information:			1			
4		121-0019-US- REG_Specification.pdf	919673	yes	18	
		NEG_Specification.pdf	3ebd50710735a3b70cedb87f88e3fdef589 d501f			
	Multi	part Description/PDF files in	zip description			
	Document Do	Start	End	ł		
	Specifica	ation	1	13		
	Claim	14	17			
	Abstra	18	18			
Warnings:						
Information:						
5		121-0019-US-REG_373b-	278285	Vos	7	
3		Statement.pdf	b642b36bb18316473431859476ff1350d73 91ba9	yes	,	
	Multi	part Description/PDF files in	zip description			
	Document De	Start	End	k		
	Assignee showing of owner	ship per 37 CFR 3.73(b).	1	6		
	Power of A	7	7			
Warnings:						
Information:						
6	Fee Worksheet (PTO-875)	fee-info.pdf	32792	no	2	
J	TEE WORKSHEEL (F 10-073)	ree-iiio.pui	f36f198dba8ec129322b7b7a2d56cf8a6463 792e	110		
Warnings:						
Information:						
		Total Files Size (in bytes)	: 25	505373		

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Case 7:24-cv-00029-DC-DTG

Document 29-4

Filed 12/04/2014 for Psage 15/36/2015 12.95MB 0651-0032
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Application Data Sheet 37 CFR 1.76  Application Number  Title of Invention  PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR  The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.  Secrecy Order 37 CFR 5.2  Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)  Applicant Information:  Applicant 1  Remove  Applicant Authority Inventor Legal Representative under 35 U.S.C. 117  Party of Interest under 35 U.S.C. 118						Attornov	Docket	Numbor	121	-0019-US-REG	<u> </u>	
Title of Invention   PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR   The application data areas to part of the provisional or comprovisional application for which it is being automitted. The following form contains the bibliophypothic class arranged in a format specified by the United States February and Trademark Office as outlined in 27 CFR 1.76.  This document may be completed electronically and abundited to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.  Secrecy Order 37 CFR 5.2  Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)  Applicant Information:  Applicant Information:  Applicant Authority Inventor   Legal Representative under 35 U.S.C. 117   Party of Interest under 35 U.S.C. 118  Prefix   Given Name   Middle Name   Family Name   Suffix   Party   Party	Appli	cation Da	ta Sheet 37	CFR	1.76	<u> </u>			121	-0019-03-NEC	· · · · · · · · · · · · · · · · · · ·	
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bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filling System (EFS) or the document may be printed and included in a paper filed application.  Secrecy Order 37 CFR 5.2  Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)  Applicant Information:  Applicant Authority Inventor	Title of	Invention	PROCESSOF	R CORE	COMM	IUNICATIOI	N IN MUL	TI-CORE F	PROCI	ESSOR		
Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)  Applicant Information:  Applicant 1	bibliogra This doo	phic data arran	ged in a format sp completed electr	ecified bronically	y the Uni	ited States Paritted to the	atent and T	rademark O	ffice as	s outlined in 37 C	FR 1.76.	
Applicant Information:  Applicant 1  Applicant Authority Inventor   Clegal Representative under 35 U.S.C. 117   Party of Interest under 35 U.S.C. 118  Prefix   Given Name   Middle Name   Family Name   Suffix   Andrew   WOLFE    Residence Information (Select One)   US Residency   Non US Residence   US  Citizenship under 37 CFR 1.41(b)   US  Mailing Address of Applicant:  Address 2  City   Los Gatos   State/Province   CA   Applicant 2  Applicant 2  Applicant Authority Inventor   Clegal Representative under 35 U.S.C. 117   Party of Interest under 35 U.S.C. 118  Prefix   Given Name   Middle Name   Family Name   Suffix   Marc   Elliot   LeViTT   Party of Interest under 35 U.S.C. 118  Prefix   Given Name   Middle Name   Family Name   Suffix   Marc   Elliot   LeViTT   Residence   Information (Select One)   US Residency   Non US Residency   Active US Military Service   City   San Jose   State/Province   CA   Country of Residence   US  Citizenship under 37 CFR 1.41(b)   US  Mailing Address of Applicant:  Address 2  City   San Jose   State/Province   CA   Postal Code   95129   Country   US  All Inventors Must Be Listed - Additional Inventor Information blocks may be	Secre	cy Orde	r 37 CFR	5.2								
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Applicant Authority  Inventor	Applicant Information:											
Prefix   Andrew   Middle Name   Family Name   Suffix	Applic	ant 1									Remove	
Andrew	Applic	ant Authori	ty • Inventor	○Le	gal Rep	resentative	under 35	U.S.C. 11	7	OParty of Inf	terest under 35 U.S.	.C. 118
Residence Information (Select One)	Prefix	Given Nan	ne		Mi	iddle Nam	е		Fan	nily Name		Suffix
City Los Gatos   State/Province   CA   Country of Residence   US    Citizenship under 37 CFR 1.41(b)   US    Mailing Address of Applicant:  Address 1   108 Leewood Ct.    Address 2   City   Los Gatos   State/Province   CA    Applicant 2   Remove    Applicant Authority (Inventor   OLegal Representative under 35 U.S.C. 117   OParty of Interest under 35 U.S.C. 118    Prefix   Given Name   Middle Name   Family Name   Suffix    Marc   Elliot   LEVITT    Residence Information (Select One) (Ity San Jose   State/Province   CA   Country of Residence   US    City   San Jose   State/Province   CA   Country of Residence    Address 1   5615 Brookhurst Ct.    Address 2   City   San Jose   State/Province   CA    Postal Code   95129   Countryi   US    All Inventors Must Be Listed - Additional Inventor Information blocks may be		Andrew							WO	LFE		
Citizenship under 37 CFR 1.41(b) i US  Mailing Address of Applicant:  Address 1 108 Leewood Ct.  Address 2 City Los Gatos State/Province CA  Postal Code 95032 Countryi US  Applicant Authority Inventor OLegal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118  Prefix Given Name Middle Name Family Name Suffix  Marc Elliot LEVITT Suffix  Residence Information (Select One) US Residency Non US Residency Active US Military Service  City San Jose State/Province CA Country of Residence i US  Citizenship under 37 CFR 1.41(b) i US  Mailing Address of Applicant:  Address 1 5615 Brookhurst Ct.  Address 2 City San Jose State/Province CA Country of Residence CA Country of Residence CA	Resid	ence Inform	nation (Select	One)	<ul><li>Us</li></ul>	Residency	0 1	Ion US Res	sidend	y Active	US Military Service	<u>;</u>
Mailing Address of Applicant:  Address 1 108 Leewood Ct.  Address 2 City Los Gatos State/Province CA  Postal Code 95032 Countryi US  Applicant 2 Remove  Applicant Authority ● Inventor	City	Los Gatos			State/	Province	CA	Countr	y of I	Residence i	US	
Address 2  City Los Gatos State/Province CA  Postal Code 95032 Countryi US  Applicant 2 Remove  Applicant Authority Inventor OLegal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118  Prefix Given Name Middle Name Family Name Suffix  Marc Elliot LEVITT Service  City San Jose State/Province CA Country of Residence i US  Citizenship under 37 CFR 1.41(b) i US  Mailing Address of Applicant:  Address 1 5615 Brookhurst Ct.  Address 2  City San Jose State/Province CA Country of Residence i US  Mailing Address of Applicant:  Address 2  City San Jose State/Province CA Country of Residence i US  Mailing Address of Applicant:  Address 2  City San Jose State/Province CA Country of Residence i US  Mailing Address of Applicant:  Address 2  City San Jose State/Province CA  Postal Code 95129 Countryi US  All Inventors Must Be Listed - Additional Inventor Information blocks may be	Citizer	nship under	37 CFR 1.41(	<b>b</b> ) i	US		<u>'</u>	•		•		
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City Los Gatos   State/Province   CA    Postal Code   95032   Countryi   US    Applicant 2   Remove    Applicant Authority Inventor   CLegal Representative under 35 U.S.C. 117   CParty of Interest under 35 U.S.C. 118    Prefix   Given Name   Middle Name   Family Name   Suffix    Marc   Elliot   LEVITT   CResidence Information (Select One)   US Residency   Non US Residency   Active US Military Service    City   San Jose   State/Province   CA   Country of Residence i   US    Mailing Address of Applicant:   Address 2    City   San Jose   State/Province   CA    Postal Code   95129   Countryi   US    All Inventors Must Be Listed - Additional Inventor Information blocks may be   Additional Inventor Information blocks   Additional Inventor Information blocks   Additional Inventor Information blocks   Additional Inventor Information blocks	Addre	ss 1	108 Lee	wood C	ct.							
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Prefix Given Name Middle Name Family Name Suffix   Marc Elliot LEVITT LEVITT   Residence Information (Select One) ● US Residency Non US Residency Active US Military Service   City San Jose CA Country of Residence i US    **Bull Colspan="2">Mailing Address of Applicant:  **Address 1 5615 Brookhurst Ct.   Address 2 State/Province CA   Postal Code 95129 Countryi US   All Inventors Must Be Listed - Additional Inventor Information blocks may be	Applic	ant 2				<u> </u>					Remove	
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Residence Information (Select One)  US Residency  Non US Residency  Active US Military Service  City  San Jose  State/Province  CA  Country of Residence i  US  Mailing Address of Applicant:  Address 1  5615 Brookhurst Ct.  Address 2  State/Province  CA  Postal Code  95129  Countryi  US  Add Inventors Must Be Listed - Additional Inventor Information blocks may be					Mi	iddle Nam	e		Fan	nily Name		Suffix
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Title of Invention	PROC	ESSOR CORE COM	MUNICATION	ON IN MULTI-CC	RE PROCE	ESSOR			
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Customer Number		83446						_	
Email Address		gsu@suipconsulting	g.com			L	Add Email		Remove Email
Application Inf	forma	ation:							
Title of the Invention	on	PROCESSOR CO	RE COMM	JNICATION IN N	IULTI-COR	E PRO	CESSOR		
Attorney Docket N	Attorney Docket Number 121-0019-US-RE			Smal	I Entity St	atus C	laimed [		
Application Type		Nonprovisional							
Subject Matter		Utility							
Suggested Class (i	f any)			Sub	Class (if a	ny)			
Suggested Techno	logy C	enter (if any)		I					
Total Number of Di	rawing	Sheets (if any)	5	Sugg	ested Fig	ure fo	Publicati	ion (if	f any) 2
<b>Publication In</b>	ıform	nation:							
Request Early	Publica	tion (Fee required a	at time of	Request 37 CF	R 1.219)				
Request Not to Publish. I hereby request that the attached application not be published under 35  U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.									
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Please Select One:	(	Customer Number	er 🔘	US Patent Pract	titioner	O Lir	nited Recog	gnition	(37 CFR 11.9)
Customer Number		83446							
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Prior Application	Status						R	temove	;
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Additional Domestic		_	ata may b	e generated wit	thin this fo	rm		Add	

Case 7:24-cv-00029-DC-DTG Document 29-4 Filed 12/04/24d for Page 15/202511.95MB 0651-0032
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Application Da	nta Sheet 37 CFR 1.76	Attorney Docket Number	121-0019-US-REG
Application ba	ita Sileet 37 Cl IX 1.70	Application Number	
Title of Invention	PROCESSOR CORE COMM	IUNICATION IN MULTI-CORE F	PROCESSOR

## **Foreign Priority Information:**

• •	<b>U</b> .	rity and to identify any prior foreign application to constitutes the claim for priority as required	
		Re	emove
Application Number	Country i	Parent Filing Date (YYYY-MM-DD)	Priority Claimed
			Yes      No
Additional Foreign Priority Add button.	Data may be generated within the	nis form by selecting the	Add

## Assignee Information:

ū	in the application data sheet do ignment recorded in the Office	•	th any requirement of part 3 of Title 37		
Assignee <sup>1</sup> Remove					
If the Assignee is an Organization	ganization check here.	X			
Organization Name	Empire Technology Develop	mpire Technology Development LLC			
Mailing Address Information:					
Address 1	2711 Centerville Road, S	Suite 400			
Address 2					
City	Wilmington	State/Province	DE		
Country i US		Postal Code	19808		
Phone Number		Fax Number			
Email Address					
Additional Assignee Data may be generated within this form by selecting the Add button.					

## Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.					
Signature	/Gene Su/		Date (YYYY-MM-DD)	2010-02-25	
First Name	Gene	Last Name	Su	Registration Number	45140

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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The information provided by you in this form will be subject to the following routine uses:

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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## United States Patent Application DECLARATION UNDER 37 C.F.R. § 1.63

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR

The specification of which:	
is attached hereto was filed on International Application No. applicable).	as United States Patent Application Serial No. or PCT and was amended on (if

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose all information which is material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

#### PRIORITY CLAIMS

I hereby claim foreign priority benefits under Title 35 U.S.C. § 119(a)-(d) or (f) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application(s) which designated at least one country other than the United States of America listed below and have also identified, by checking the box, any foreign application(s) for patent or inventor's certificate or any PCT international application(s) having a filing date before that of the application(s) on which priority is claimed.

no such applications have been filed. such applications have been filed as follows:

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CI UNDER § 119	
			YES	NO 💹
			YES	NO 💹
			YES	NO
			YES	NO 🌆

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

1

no such applications have been filed. such applications have been filed as follows:

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

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no such applications have been filed. such applications have been filed as follows:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (day, month, year)

#### **DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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	San Jose, CA 95129, USA	

## 37 C.F.R. § 1.56 Duty to disclose information material to patentability.

- A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - Prior art cited in search reports of a foreign patent office in a counterpart (1) application, and
  - The closest information over which individuals associated with the filing or (2)prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
    - It refutes, or is inconsistent with, a position the applicant takes in: (2)
      - Opposing an argument of unpatentability relied on by the Office, or
      - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - Each inventor named in the application; (1)
  - (2)Each attorney or agent who prepares or prosecutes the application; and
  - Every other person who is substantively involved in the preparation or prosecution (3) of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

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<u>100</u>

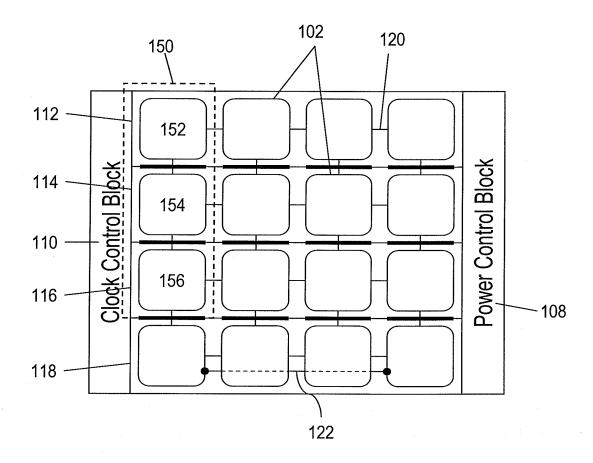
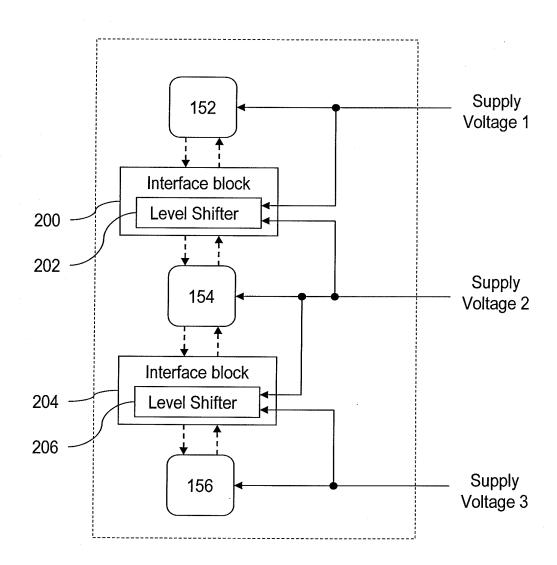


FIG. 1

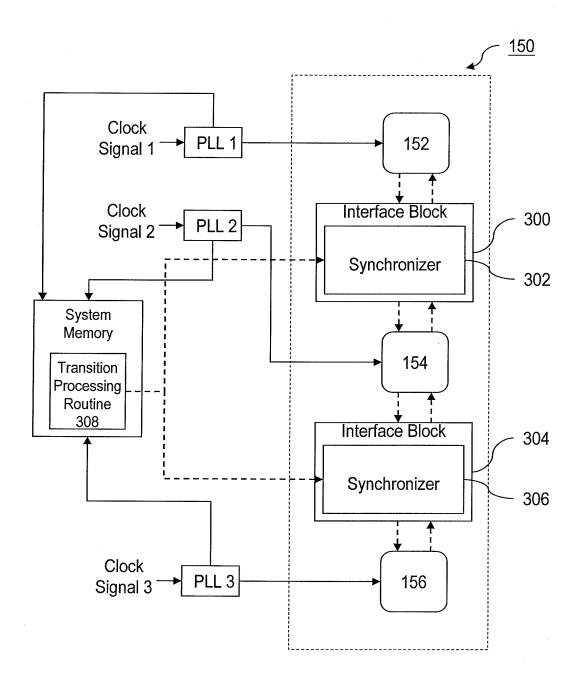
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<u>150</u>



**FIG. 2** 

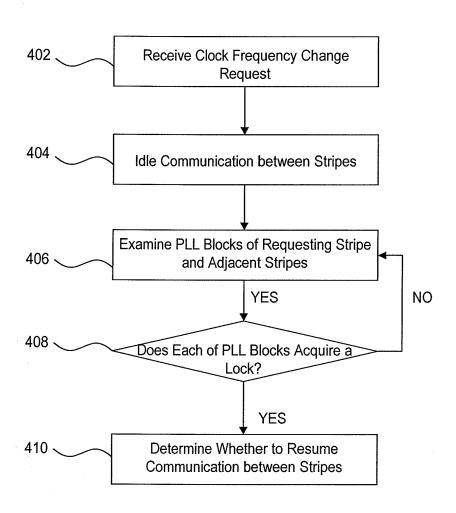
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**FIG. 3** 

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**FIG. 4** 

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## 500 A computer program product

502 at least one of

one or more instructions for receiving a request of changing the clock frequency;

one or more instructions for issuing a command to idle communication with the first region;

one or more instructions for examining whether a first phase lock loop operation associated with the first region has acquired a first lock signal in response to the request; and/or

one or more instructions for examining whether a second phase lock loop operation associated with a second region of processor cores in the multi-core processor has acquired a second lock signal in response to the request, wherein the second region is adjacent to the first region

504 a signal bearing medium

506 a communication medium

508 a computer readable medium

510 a recordable medium

## PATENT APPLICATION FOR:

## PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR

**INVENTORS:** 

ANDREW WOLFE

MARC ELLIOT LEVITT

ATTORNEY DOCKET NUMBER: 121-0019-US-REG

#### PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR

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## BACKGROUND OF THE DISCLOSURE

**[0001]** A multi-core processor includes two or more independent processor cores arranged in an array. Each processor core in a conventional multi-core processor generally shares the same supply voltage and clock signal to simplify the interfaces between the processor cores. For power consumption management, dynamic supply voltage and clock speed control may be utilized, so that a multi-core processor may operate at high power and high clock frequency when needed and at low power when the computing requirements are reduced.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0002] The foregoing and other features of the present disclosure will become more fully apparent from the following description and appended claims, taken in conjunction with the accompanying drawings. These drawings depict only several embodiments in accordance with the disclosure and are, therefore, not to be considered limiting of its scope. The disclosure will be described with additional specificity and detail through use of the accompanying drawings.

- [0003] FIG. 1 illustrates an example configuration of a multi-core processor;
- FIG. 2 is a block diagram illustrating an example set of processor cores with example interface blocks having level shifters;
- FIG. 3 is another block diagram illustrating an example set of processor cores with example interface blocks having synchronizers;
- FIG. 4 is a flow chart illustrating an example transition processing routine for managing a clock frequency change; and
- FIG. 5 is a block diagram illustrating an example computer program product for handling processor core communication in a multi-core processor; all arranged in accordance with at least some embodiments of the present disclosure.

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#### **DETAILED DESCRIPTION**

[0004] In the following detailed description, reference is made to the accompanying drawings, which form a part hereof. In the drawings, similar symbols typically identify similar components, unless context dictates otherwise. The illustrative embodiments described in the detailed description, drawings, and claims are not meant to be limiting. Other embodiments may be utilized, and other changes may be made, without departing from the spirit or scope of the subject matter presented here. It will be readily understood that the aspects of the present disclosure, as generally described herein, and illustrated in the Figures, can be arranged, substituted, combined, and designed in a wide variety of different configurations, all of which are explicitly contemplated and make part of this disclosure.

**[0005]** This disclosure is drawn, *inter alia*, to devices, methods, systems, and computer programs related to power management for a multi-core processor.

**[0006]** A multi-core processor may include multiple processor cores arranged in an array. A power profile associated with an individual processor core may be controlled through signals that may be received from control blocks that are located in the periphery of the multi-core processor. The power profile may include, without limitation, one or more power-supply voltages of the core processor, clock rates of the core processor, clock multipliers of the core processor, power throttling of the core processor, and/or sleep state cycles of the core processor.

[0007] FIG. 1 illustrates an example configuration of a multi-core processor 100 that is arranged in accordance with at least some embodiments of the present disclosure. The multi-core processor 100 may include multiple processor cores 102 arranged in rows and columns in a 2-dimensional array in an integrated circuit. A processor core may be coupled with adjacent processor cores through an interface circuit 120. In some implementations, the processor cores 102 may be horizontally coupled to one another, vertically coupled to one another, and/or diagonally coupled to one another by the interface circuit 120. In some example implementations, the processor core 102 located on one edge of the multi-core processor 100 may also be coupled to the processor core 102 on the opposite edge with a wrap-around

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connection 122, which may be employed to ensure a continuous connection among the processor cores in the same row and/or column.

[0008] The multi-core processor 100 may be further divided into regions. In some implementations, the regions of multi-core processor 100 may correspond to rows of the two-dimensional array, and the regions may or may not be overlapping. Each row of processors may also be referred to as a "stripe." For example, the multi-core processor 100 may be divided into stripes 112, 114, 116, and 118. Each stripe may be associated with an independent power profile. For example, the stripe 112 may be powered by a supply voltage received from a power control block 108 and/or may be associated with an independent clock domain defined by a clock signal received from a clock control block 110. In some implementations, the power control block 108 and the clock control block 110 may be arranged at two different sides of the multi-core processor 100 as shown in FIG. 1. In some other implementations, the power control block 108 and the clock control block 110 may be arranged at the same side of the multi-core processor 100. In yet some other implementations, the power control block 108 and the clock control block 110 may be arranged in a common area located near the center of the multi-core processor 100.

[0009] The power profile associated with a stripe may be determined based on the computational requirements of the tasks assigned to the processor cores in the stripe. In some implementations, sensors placed at the input of each processor core may be configured to measure the supply voltage and the local temperature for the processor core. The measured supply voltage and local temperature may be maintained in the power control block 108. One or more performance counters associated with each processor core may also provide feedback to the power control block 108. Based on the measured operational information (e.g., supply voltage and local temperature) and the performance data, the power control block 108 may then be configured to select a supply voltage for each strip. For example, the tasks with the highest computational requirements may be scheduled into the topmost stripe, such as the stripe 112. The stripe 112 may be configured to operate at a high supply voltage. The tasks with lesser computational requirements may be

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scheduled into the stripe 114 and so forth. The stripes 114, 116, and 118 thus may be configured to operate lower supply voltages.

**[0010]** In some implementations, supply voltages to the stripes may be selected such that the selected supply voltages for adjacent stripes may differ by a limited amount. This limited amount may be based on a relationship between the output voltage level associated with one stripe and the input voltage level associated with an adjacent stripe. For example, suppose the stripe with the higher supply voltage (e.g., the stripe 112) may be associated with an output voltage level (e.g., V<sub>o</sub>). V<sub>o</sub> needs to fall reliably within an acceptable input voltage level range (e.g., V<sub>i+</sub> to V<sub>i-</sub>) for an adjacent stripe (e.g., the stripe 114). In other words, the power control block 108 may be configured to select the supply voltages to the stripe 112 and the stripe 114, so that the aforementioned relationship between V<sub>o</sub> and range V<sub>i+</sub> to V<sub>i-</sub> may be maintained.

**[0011]** To maintain the limited differential relationship discussed above, adjusting the supply voltage to one stripe may involve adjusting the supply voltages to the other stripes. To illustrate, suppose the power control block 108 may adjust the supply voltage to the stripe 112. To maintain the limited differential relationship, the power control block 108 may adjust the supply voltages to the stripes 118, 116, and 114 before adjusting the supply voltage to the stripe 112.

[0012] Although dynamically adjusting the power profile for a stripe in response to changes in computational requirements may reduce power consumption for a multi-core processor, such adjustments may take some period of time to stabilize. To further illustrate the interfaces that facilitate communication between two processor cores in the multi-core processor 100, a subset 150 of processor cores 152, 154, and 156 of FIG. 1 may be selected. The processor core 152 belongs to the stripe 112; the processor core 154 belongs to the stripe 114; and the processor core 156 belongs to the stripe 116.

[0013] FIG. 2 is a block diagram illustrating an example subset 150 of processor cores with example interface blocks having level shifters, arranged in accordance

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with at least some embodiments of the present disclosure. The processor core 152 may be powered by a supply voltage 1 and coupled to an interface block 200 having a level shifter 202; the processor core 154 may be powered by a supply voltage 2 and coupled to the same interface block 200; and the processor core 156 may be powered by a supply voltage 3 and coupled to an interface block 204 having a level shifter 206. In some implementations, the inputs of the level shifter 202 may be the supply voltage 1 and the supply voltage 2, and the inputs of the level shifter 206 may be the supply voltage 2 and the supply voltage 3. The supply voltage 1, the supply voltage 2, and the supply voltage 3 may come from a power control block, such as the power control block 108 of FIG 1.

[0014] When the processor core 152 of the stripe 112 sends a signal to the processor core 154 of the stripe 114, in some implementations, the output voltage of the level shifter 202 may be tied to the supply voltage 2, and the input voltage of the level shifter 202 may be tied to the supply voltage 1. The level shifters are arranged to translate the signal levels such that each of the processor cores operates correctly (e.g., the processor cores properly interpret the voltages as valid logic levels even though processor cores are powered by different supply voltages). Here, the level shifter 202 may be adapted to translate first logic levels associated with the stripe 112 to second logic levels associated with the stripe 114, and the level shifter 202 may be referenced to the supply voltage 2. On the other hand, when the processor core 154 of the stripe 114 sends a signal to the processor core 152 of the stripe 112, the output voltage of the level shifter 202 may be tied to the supply voltage 1, and the input voltage of the level shifter 202 may be tied to the supply voltage 2. In other words, the level shifter 202 may be adapted to translate second logic levels associated with the stripe 114 to first logic levels associated with stripe 112, and the level shifter 202 may be referenced to the supply voltage 1. The relationships among the supply voltage 1, supply voltage 2, and the level shifter 202 described above similarly apply to the relationships among the supply voltage 2, supply voltage 3, and the level shifter 206.

[0015] FIG. 3 is another block diagram illustrating an example subset 150 of processor cores with example interface blocks having synchronizers, arranged in

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accordance with at least some embodiments of the present disclosure. The processor core 152 may be driven by a clock signal 1 and coupled to an interface block 300 having a synchronizer 302; the processor core 154 may be driven by a clock signal 2 and coupled to the same interface block 300; and the processor core 156 may be driven by a clock signal 3 and coupled to an interface block 304 having a synchronizer 306. In some implementations, the clock signal 1, the clock signal 2, the clock signal 3, and the respective phase lock loops (PLLs) may be a part of a clock control block, such as the clock control block 110. The processing results of the PLL blocks may be fed back to a transition processing routine 308. Commands generated by the transition processing routine 308 may also be sent to the synchronizer 302 and/or the synchronizer 306.

[0016] As discussed above, when the power profile for a stripe changes, such as a change in clock frequency, the clock signal for the stripe may become unstable. To handle such a situation, FIG. 4 is a flow chart illustrating an example transition processing routine 400 for managing a clock frequency change, arranged in accordance with at least some embodiments of the present disclosure. For ease of description, the transition processing routine 400 is described in terms of a set of processor cores and interface blocks substantially similar to those described previously with respect to FIG. 3. The transition processing routine 400 may include one or more functions, operations, or actions as depicted by operations 402, 404, 406, 408, and/or 410. In some implementations, the various features of the illustrated operations for the transition processing routine 400 may be combined into fewer operations, divided into additional operations, or eliminated based on the desired result.

[0017] Processing for the transition processing routine 300 may begin at operation 302, "receive clock frequency change request." Operation 302 may be followed by operation 304, "idle communication between stripes." Operation 304 may be followed by operation 306, "examine PLL blocks of requesting stripe and adjacent stripe(s)." Operation 306 may be followed by operation 308, "does each of PLL blocks acquire a lock?" Operation 308 may be followed by either operation 306 when the decision logic tested at block 308 fails to be satisfied (NO), or operation

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310, "determine whether to resume communication between stripes", when the decision logic tested at block 308 is satisfied (YES). Processing for the routine may terminate after block 310.

[0018] For illustration, suppose the processor core 154 of the stripe 114 in FIG. 3 is asked to change its clock frequency based on the tasks that are being assigned to the stripe 114 for processing. After having received the request in operation 402, the transition processing routine 400 may issue commands to the synchronizer 302 and the synchronizer 306 in operation 404 to idle the communications between the processor core 154 and the processor core 152 and between the processor core 154 and the processor core 156. Following operation 404, the outputs of the PLL blocks for the stripes that are adjacent to the stripe 114 may be examined in operation 406. Depending on whether the PLL blocks have acquired locks as determined in operation 408, the transition processing routine 400 may decide in operation 410 whether the transition sequence has occurred properly and the communication between the stripes may resume.

[0019] In some implementations, after each of the PLL block 1, PLL block 2, and PLL block 3 is determined to have acquired a lock of its respective clock signal in operation 408, a stable clock signal may be sent to the processor core 154 and also the synchronizer 302 and the synchronizer 306. Then, the synchronizer 302 may be configured to synchronize the clock signal 1 and the clock signal 2 for the communication between the processor core 152 and the processor core 154. Similarly, the synchronizer 306 may be configured to synchronize the clock signal 2 and the clock signal 3 for the communication between the processor core 154 and the processor core 156.

**[0020]** FIG. 5 is a block diagram illustrating a computer program product 500 for handling processor core communication in a multi-core processor in accordance with at least some embodiments of the present disclosure. Computer program product 500 may include one or more sets of executable instructions 502 for executing the transition processing routine described above and illustrated in FIG. 4. Computer program product 500 may be transmitted in a signal bearing medium 504

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or another similar communication medium 506. Computer program product 500 may also be recorded in a computer readable medium 508 or another similar recordable medium 510.

**[0021]** There is little distinction left between hardware and software implementations of aspects of systems; the use of hardware or software is generally (but not always, in that in certain contexts the choice between hardware and software can become significant) a design choice representing cost vs. efficiency tradeoffs. There are various vehicles by which processes and/or systems and/or other technologies described herein can be effected (e.g., hardware, software, and/or firmware), and that the preferred vehicle will vary with the context in which the processes and/or systems and/or other technologies are deployed. For example, if an implementer determines that speed and accuracy are paramount, the implementer may opt for a mainly hardware and/or firmware vehicle; if flexibility is paramount, the implementer may opt for a mainly software implementation; or, yet again alternatively, the implementer may opt for some combination of hardware, software, and/or firmware.

[0022] The foregoing detailed description has set forth various embodiments of the devices and/or processes via the use of block diagrams, flowcharts, and/or examples. Insofar as such block diagrams, flowcharts, and/or examples contain one or more functions and/or operations, it will be understood by those within the art that each function and/or operation within such block diagrams, flowcharts, or examples can be implemented, individually and/or collectively, by a wide range of hardware, software, firmware, or virtually any combination thereof. In one embodiment, several portions of the subject matter described herein may be implemented via Application Specific Integrated Circuits (ASICs), Field Programmable Gate Arrays (FPGAs), digital signal processors (DSPs), or other integrated formats. However, those skilled in the art will recognize that some aspects of the embodiments disclosed herein, in whole or in part, can be equivalently implemented in integrated circuits, as one or more computer programs running on one or more computers (e.g., as one or more programs running on one or more computer systems), as one or more programs running on one or more processors (e.g., as one or more programs running on one or more microprocessors), as firmware, or as virtually any combination thereof, and

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that designing the circuitry and/or writing the code for the software and or firmware would be well within the skill of one of skill in the art in light of this disclosure. In addition, those skilled in the art will appreciate that the mechanisms of the subject matter described herein are capable of being distributed as a program product in a variety of forms, and that an illustrative embodiment of the subject matter described herein applies regardless of the particular type of signal bearing medium used to actually carry out the distribution. Examples of a signal bearing medium include, but are not limited to, the following: a recordable type medium such as a floppy disk, a hard disk drive, a Compact Disc (CD), a Digital Video Disk (DVD), a digital tape, a computer memory, etc.; and a transmission type medium such as a digital and/or an analog communication medium (e.g., a fiber optic cable, a waveguide, a wired communications link and/or channel, a wireless communication link and/or channel, etc.).

[0023] Those skilled in the art will recognize that it is common within the art to describe devices and/or processes in the fashion set forth herein, and thereafter use engineering practices to integrate such described devices and/or processes into data processing systems. That is, at least a portion of the devices and/or processes described herein can be integrated into a data processing system via a reasonable amount of experimentation. Those having skill in the art will recognize that a typical data processing system generally includes one or more of a system unit housing, a video display device, a memory such as volatile and non-volatile memory, processors such as microprocessors and digital signal processors, computational entities such as operating systems, drivers, graphical user interfaces, and applications programs, one or more interaction devices, such as a touch pad or screen, and/or control systems including feedback loops and control motors (e.g., feedback for sensing position and/or velocity; control motors for moving and/or adjusting components and/or quantities). A typical data processing system may be implemented utilizing any suitable commercially available components, such as those typically found in data computing/communication and/or network computing/communication systems.

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[0024] The herein described subject matter sometimes illustrates different components contained within, or connected with, different other components. It is to be understood that such depicted architectures are merely exemplary, and that in fact many other architectures can be implemented which achieve the same functionality. In a conceptual sense, any arrangement of components to achieve the same functionality is effectively "associated" such that the desired functionality is achieved. Hence, any two components herein combined to achieve a particular functionality can be seen as "associated with" each other such that the desired functionality is achieved, irrespective of architectures or intermedial components. Likewise, any two components so associated can also be viewed as being "operably connected", or "operably coupled", to each other to achieve the desired functionality, and any two components capable of being so associated can also be viewed as being "operably couplable", to each other to achieve the desired functionality. Specific examples of operably couplable include but are not limited to physically mateable and/or physically interacting components and/or wirelessly interactable and/or wirelessly interacting components and/or logically interacting and/or logically interactable components.

[0025] With respect to the use of substantially any plural and/or singular terms herein, those having skill in the art can translate from the plural to the singular and/or from the singular to the plural as is appropriate to the context and/or application. The various singular/plural permutations may be expressly set forth herein for sake of clarity.

[0026] It will be understood by those within the art that, in general, terms used herein, and especially in the appended claims (e.g., bodies of the appended claims) are generally intended as "open" terms (e.g., the term "including" should be interpreted as "including but not limited to," the term "having" should be interpreted as "having at least," the term "includes" should be interpreted as "includes but is not limited to," etc.). It will be further understood by those within the art that if a specific number of an introduced claim recitation is intended, such an intent will be explicitly recited in the claim, and in the absence of such recitation no such intent is present. For example, as an aid to understanding, the following appended claims may contain

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usage of the introductory phrases "at least one" and "one or more" to introduce claim recitations. However, the use of such phrases should not be construed to imply that the introduction of a claim recitation by the indefinite articles "a" or "an" limits any particular claim containing such introduced claim recitation to inventions containing only one such recitation, even when the same claim includes the introductory phrases "one or more" or "at least one" and indefinite articles such as "a" or "an" (e.g., "a" and/or "an" should typically be interpreted to mean "at least one" or "one or more"); the same holds true for the use of definite articles used to introduce claim recitations. In addition, even if a specific number of an introduced claim recitation is explicitly recited, those skilled in the art will recognize that such recitation should typically be interpreted to mean at least the recited number (e.g., the bare recitation of "two recitations," without other modifiers, typically means *at least* two recitations, or two or more recitations). Furthermore, in those instances where a convention analogous to "at least one of A, B, and C, etc." is used, in general such a construction is intended in the sense one having skill in the art would understand the convention (e.g., "a system having at least one of A, B, and C" would include but not be limited to systems that have A alone, B alone, C alone, A and B together, A and C together, B and C together, and/or A, B, and C together, etc.). In those instances where a convention analogous to "at least one of A, B, or C, etc." is used, in general such a construction is intended in the sense one having skill in the art would understand the convention (e.g., "a system having at least one of A, B, or C" would include but not be limited to systems that have A alone, B alone, C alone, A and B together, A and C together, B and C together, and/or A, B, and C together, etc.). It will be further understood by those within the art that virtually any disjunctive word and/or phrase presenting two or more alternative terms, whether in the description, claims, or drawings, should be understood to contemplate the possibilities of including one of the terms, either of the terms, or both terms. For example, the phrase "A or B" will be understood to include the possibilities of "A" or "B" or "A and B."

[0027] While various aspects and embodiments have been disclosed herein, other aspects and embodiments will be apparent to those skilled in the art. The various

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aspects and embodiments disclosed herein are for purposes of illustration and are not intended to be limiting, with the true scope and spirit being indicated by the following claims.

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We Claim:

1. A multi-core processor, comprising:

- a first set of processor cores of the multi-core processor, wherein each processor core from the first set of processor cores is configured to dynamically receive a first supply voltage and a first clock signal;
- a second set of processor cores of the multi-core processor, wherein each processor core from the second set of processor cores is configured to dynamically receive a second supply voltage and a second clock signal; and
- an interface block coupled to the first set of processor cores and also coupled to the second set of processor cores, wherein the interface block is configured to facilitate communication between the first set of processor cores and the second set of processor cores.
- 2. The multi-core processor of claim 1, the interface block further comprising a first level shifter that is referenced to the second supply voltage and adapted to translate first logic levels associated with the first set of processor cores to second logic levels associated with the second set of processor cores for a first signal traveling from the first set of processor cores to the second set of processor cores.
- 3. The multi-core processor of claim 1, the interface block further comprising a second level shifter that is referenced to the first supply voltage and adapted to translate second logic levels associated with the second set of processor cores to first logic levels associated with the first set of processor cores for a second signal traveling from the second set of processor cores to the first set of processor cores.
- 4. The multi-core processor of claim 1, wherein the interface block further comprises a synchronizer configured to synchronize the first clock signal and the second clock signal for communication between one or more processor cores of the first set of processor cores and one or more processor cores of the second set of processor cores.

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5. The multi-core processor of claim 1, wherein the first set of processor cores and the second set of processor cores are configured to receive one or more control signals from one or more control blocks located in a periphery of the multi-core processor.

- 6. The multi-core processor of claim 1, wherein the first set of processor cores are located in a first region of the multi-core processor, and the second set of processor cores are located in a second region of the multi-core processor.
- 7. The multi-core processor of claim 6, wherein the first region and the second region are overlapping regions of the multi-core processor.
- 8. The multi-core processor of claim 6, wherein the first region and the second region are non-overlapping regions of the multi-core processor.
- 9. The multi-core processor of claim 6, wherein the first region corresponds to a first row of the multi-core processor, and wherein the second region corresponds to a second row of the multi-core processor.
- 10. The multi-core processor of claim 1, wherein the interface block is configured to idle communications between the first set of processor cores and the second set of processor cores when one or more of the first clock signal and/or the second clock signal is determined to have changed.
- 11. The multi-core processor of claim 10, wherein the interface block is configured to resume communication between the first set of processor cores and the second set of processor cores after one or more of the first clock signal and/or the second clock signal is determined to have stabilized.
- 12. The multi-core processor of claim 5, wherein the first set of processor cores is adjacent to the second set of processor cores, and the one or more control blocks

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are configured to select the first supply voltage and the second supply voltage to maintain a differential relationship between the first supply voltage and the second supply voltage.

- 13. The multi-core processor of claim 12, wherein the differential relationship is based on having an output voltage level associated with the first set of processor cores to be within an acceptable input voltage level associated with the second set of processor cores.
- 14. The multi-core processor of claim 1, wherein the first set of processor cores and the second set of processor cores are configured to receive one or more control signals from one or more control blocks located in a common region that is substantially central to the first set of processor cores and the second set of processor cores.
- 15. A method for managing communications in a multi-core processor that includes a plurality of processor cores having a first set of processor cores and a second set of processor cores, the method comprising:
  - idling communications with one or more of the plurality of processor cores in response to a clock frequency change request for the first set of processor cores; and
  - resuming communications with one or more of the plurality of processor cores after having determined that a first phase lock loop operation associated with the first set of processor cores has acquired a first lock signal and a second phase lock loop operation associated with the second set of processor cores has also acquired a second lock signal.
- 16. The method of claim 15, wherein resuming communications further comprising having determined that a third phase lock loop operation associated with a third set of processor cores in the multi-core processor has acquired a third lock signal, wherein the third set of processor cores is adjacent to the first set of processor cores.

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17. The method of claim 16, wherein the second set of processor cores is adjacent to the first set of processor cores.

the first set of processor cores;

- 18. A computer-readable medium containing a sequence of instructions for managing communications in a multi-core processor that includes a plurality of processor cores having a first set of processor cores and a second set of processor cores, which when executed by a computing device, causes the computing device to: issue a first command to idle communications with one or more of the plurality of processor cores in response to a clock frequency change request for
  - issue a second command to resume communications with one or more of the plurality of processor cores after having determined that a first phase lock loop operation associated with the first set of processor cores has acquired a first lock signal and a second phase lock loop operation associated with the second set of processor cores has also acquired a second lock signal.
- 19. The computer-readable medium of claim 18, further including a sequence of instructions, which when executed by the computing device, causes the computing device to determine whether a third phase lock loop operation associated with a third set of processor cores in the multi-core processor has acquired a third lock signal before issuing the second command, wherein the third set of processor cores is adjacent to the first set of processor cores.
- 20. The computer-readable medium of claim 19, wherein the second set of processor cores is adjacent to the first set of processor cores.

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### ABSTRACT OF THE DISCLOSURE

Embodiments of the disclosure generally set forth techniques for handling communication between processor cores. Some example multi-core processors include a first set of processor cores in a first region of the multi-core processor configured to dynamically receive a first supply voltage and a first clock signal, a second set of processor cores in a second region of the multi-core processor configured to dynamically receive a second supply voltage and a second clock signal, and an interface block coupled to the first set of processor cores and the second set of processor cores, wherein the interface block is configured to facilitate communications between the first set of processor cores and the second set of processor cores.

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# United States Patent Application

# STATEMENT UNDER 37 CFR § 3.73(b)

I, the undersigned, as an authorized representative for EMPIRE TECHNOLOGY DEVELOPMENT LLC, of 2711 Centerville Road, Suite 400, Wilmington, New Castle County, Delaware 19808, U.S.A., state that EMPIRE TECHNOLOGY DEVELOPMENT LLC is the assignee of the entire right, title, and interest in the following application:

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	FIRST NAMED INVENTOR	TITLE
		Andrew Wolfe	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSO
Copies of the assig	nment agreement(s) e	stablishing the chain o	f title is/are:
attached	l hereto.		
recorded recorded	d in the United States	Patent and Trademark	Office as shown below:
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or: <u>EMPIRI</u>	E TECHNOLOGY DI	EVELOPMENT LLC	
By: <u>ERIC B</u>	ELL	Titl	e: <u>AUTHORIZED PERSON</u>

Page 1 of 1

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## **ASSIGNMENT OF PATENT RIGHTS**

For valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Andrew Wolfe of 108 Leewood Ct., Los Gatos, CA 95032, US, and Marc Elliot Levitt of 5615 Brookhurst Ct., San Jose, CA 95129, US, "Assignors" effective as of December 10, 2009, hereby sells, assigns, transfers, and conveys unto

- (A) Empire Technology Development LLC, a Delaware limited liability company, with an address at 2711 Centerville Road, Suite 400, Wilmington, DE 19808 ("U.S. Assignee"), all rights, title, and interests that exist today and may exist in the future in and to any and all of the following items (1) through (8) below:
- 1. the inventions disclosed in the solution report/invention disclosure titled "Controlled voltage gradients in an asynchronoous processor array" and all inventions claimed and/or described in the Application (collectively, the "Invention");
- 2. the patent applications listed in the table below (the "Application");

Patent Application No.	Country	Filing Date	<u>Title</u>
	US		PROCESSOR CORE
			COMMUNICATION IN MULTI-
			CORE PROCESSOR

- 3. all rights with respect to the Invention, including all United States patents or other governmental grants or issuances that may be granted with respect to the Invention or from any direct or indirect divisionals, continuations, continuations-in-part, or other patent applications claiming priority rights from the Application ("Potential Patents");
- 4. all reissues, reexaminations, extensions, or registrations of the Potential Patents;
- 5. all non-United States patents, patent applications, and counterparts relating to any or all of the Invention, the Application, and the Potential Patents, including, without limitation, certificates of invention, utility models, industrial design protection, design patent protection, and other governmental grants or issuances ("Foreign Rights"), and including the right to file foreign applications directly in the name of Assignee, its successors and assigns;
- 6. all rights to claim priority rights deriving from the Application;

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- 7. all causes of action and remedies related to any or all of the Application, the Invention, the Potential Patents, and the Foreign Rights (including, without limitation, the right to sue for past, present, or future infringement, misappropriation or violation of rights related to any of the foregoing and the right to collect royalties and other payments under or on account of any of the foregoing); and
- 8. any and all other rights and interests arising out of, in connection with, or in relation to the Application, the Invention, Potential Patents, and the Foreign Rights.

As used in this Assignment, "Assignee" means, collectively, the U.S. Assignee and the Non-U.S. Assignee. Assignor will not sign any document or do any act conflicting with this Assignment, and, without further compensation, will sign all documents and do such additional acts as Assignee, its successors, legal representatives, and assigns deem necessary or desirable to perfect enjoyment of the Rights, conduct proceedings regarding the Rights (including any litigation or interference proceedings), or perfect or defend title to the Rights. Assignor requests the respective patent office or governmental agency in each jurisdiction to issue any and all patents, certificates of invention, utility models, or other governmental grants or issuances that may be granted upon any of the Rights in the name of the Assignee, as the assignee to the entire interest therein.

Assignor hereby authorizes and requests legal representative Ren-Sheng International IP Management Ltd., 17F-1, No. 151, Sec. 4, XinYi Road, Taipe, Taiwan, to insert on this Assignment the filing date and Patent Application Numbers in the table above when known.

The terms and conditions of this Assignment will inure to the benefit of Assignee, its successors, legal representatives, and assigns and will be binding upon Assignor, their successors, legal representatives and assigns.

[Signature Page(s) to Follow]

Attorney Docket. No. 121-0019-US-REG

By:

**Andrew Wolfe** 108 Leewood Ct.,

Los Gatos, CA 95032 U.S.

## **NOTARIZATION**

STATE OF CALIFORNIA
COUNTY OF SANTA

\_, 2010, before me, JOANNE VENEGAS, Notary Public, personally appeared Andrew Wolfe, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of CALIFORNIA that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Commission # 1789670 Potary Public - California Santa Clara County

Attorney Docket. No. 121-0019-US-REG

By:

Marc Elliot Levitt 5615 Brookhurst Ct., San Jose, CA 95129 U.S.

## **NOTARIZATION**

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I certify under PENALTY OF PER foregoing paragraph is true and	JURY under the lav	ws of the State of	that the
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Signature			

CALIFORNIA ALL-PURPOSE ACK	NOWLEDGMENT
State of California  County of Santa Clara  On Homey 1,2015 before me, Management Marc Elliot	MAN Walthors Notary Public, Here Insert Name and Title of the Officer  Here Insert Name and Title of the Officer  Name(s) of Signer(s)
MARY ANN WALTHERS Commission # 1773587 Notary Public - California # Santa Clara County MyCamm. Spies Oct 16, 2011	who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WITNESS for hand and official seal.
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	may prove valuable to persons relying on the document
Description of Attached Document  Title or Type of Document:	
Capacity(ies) Claimed by Signer(s)	
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Application Number:	127	13220			
Filing Date:					
Title of Invention:	PRC	OCESSOR CORE COI	MMUNICATION I	N MULTI-CORE PF	ROCESSOR
First Named Inventor/Applicant Name:	And	rew WOLFE			
Filer:	Gen	e I. Su			
Attorney Docket Number:		-0019-US-REG			
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Utility application filing		1011	1	330	330
Utility Search Fee		1111	1	540	540
Utility Examination Fee		1311	1	220	220
Pages:					
Claims:					
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Patent-Appeals-and-Interference:					

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Case 7:24-cv-00029-DC-DTG Doc	<del>ument 29-4 Filed 12/04/24 Page 193 of 195</del>
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EFS ID:	7095537
Application Number:	12713220
International Application Number:	
Confirmation Number:	4243
Title of Invention:	PROCESSOR CORE COMMUNICATION IN MULTI-CORE PROCESSOR
First Named Inventor/Applicant Name:	Andrew WOLFE
Customer Number:	83446
Filer:	Gene I. Su
Filer Authorized By:	
Attorney Docket Number:	121-0019-US-REG
Receipt Date:	26-FEB-2010
Filing Date:	
Time Stamp:	12:21:09
Application Type:	Utility under 35 USC 111(a)
Payment information:	

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1090
RAM confirmation Number	9690
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# File Listing:

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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Filing Date: 02(2010)

Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE 1E+07 lays a valid OMB control number.

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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Pater and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.